NTEGRA Spectra

Upright configuration

Instruction Manual





NTEGRA Spectra Probe NanoLaboratory

(Upright Configuration with Solar TII Spectrometer)

Instruction Manual

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Read me First!

Observe safety measures for operation with devices containing sources of laser radiation. Do not stare into the beam. A label warning about the presence of laser radiation is attached to the measuring head (Fig. 1) as well as to the laser sources.



Fig. 1

Before you start working with the instrument, get acquainted with the basic safety measures and the operation conditions for the instrument!

If you are a beginner in scanning probe microscopy, we recommend you to familiarize with basic SPM techniques. "Fundamentals of Scanning Probe Microscopy" by V.L. Mironov gives a good introduction to the subject. This book is available on the Internet, <u>http://www.ntmdt.com/support</u>.

Feedback

Should you have any questions, which are not explained in the manuals, please contact the Service Department of the company (<u>support@ntmdt.ru</u>) and our engineers will give you comprehensive answers. Alternatively, you can contact our staff on-line using the ask-on-line service (<u>http://www.ntmdt.com/online</u>).

User's documentation set

The following manuals are included into the user's documentation set:

- Instruction Manual is the guidance on preparation of the instrument and other equipment for operation on various techniques of Scanning Probe Microscopy. The contents of the user's documentation set may differ depending on the delivery set of the instrument.
- SPM Software Reference Manual is the description of the control program interface functions, all commands and functions of the menu and, also a description of the Image Analysis module and the Macro Language "Nova PowerScript".
- Control Electronics. Reference Manual is the guide to SPM controller, Thermocontroller and Signal Access module.

Some equipment, which is described in the manuals, may not be included into your delivery set. Read the specification of your contract for more information.

The manuals are updated regularly. Their latest versions can be found in the site of the company, in the section "Techsupport" (<u>http://www.ntmdt.com/support</u>).

NTEGRA Spectra PNL (Upright Configuration). Instruction Manual

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1. Overview

NTEGRA Spectra PNL instrument is equipped with high performance optics that provides scanning of the sample area under the tip with the resolution of $0.4 \,\mu\text{m}$. Its optical measuring head serves for illuminating the surface with visible light (minimum spot size $0.4 \,\mu\text{m}$) and for collecting the scattered radiation including radiation from immediate vicinity of the tip. Additional capability is confocal Raman microscopy that uses the spectrometer of the instrument.

A large value of the numerical aperture of the objective lens (NA=0.7) allows illuminating the surface at wide angle with high intensity of the normal electric component in the light spot under the probe. This enables measuring tip-enhanced optical effects, including the surface-enhanced Raman scattering.

NTEGRA Spectra PNL provides the following options:

- performing spectral measurements at a certain point and acquiring spectral characteristics of various materials when the instrument operates as a regular spectrometer;
- measuring secondary signal intensity in the selected wavelength range in the mode of layer-specific volumetric scanning of the area 100x100x30 μm;
- acquiring optical images of the object when the instrument operates as a regular laser confocal microscope;
- detecting the object landscape with atomic resolution as well as collecting its electrical, magnetic and nanomechanical properties with force microscopy techniques;
- performing nanoscale measurements of different optical properties relevant to techniques of nonaperturate near-field scanning optical microscopy.

The particular feature of the instrument is its capability to detect optical properties with a number of nonlinear effects, surface-enhanced Raman scattering, and the so-called Tip-Enhanced Raman spectroscopy.

2. Design

NT-MDT NTEGRA

Fig. 2-1 shows general view of the NTEGRA Spectra PNL instrument.

Fig. 2-1. NTEGRA Spectra PNL

NTEGRA Spectra PNL consists of the following main parts:

- 1. Base unit (see sec. 2.1 on p. 6). It holds the measuring head, the scanner, and the sample holder;
- 2. Optical measuring head (see sec. 2.2 on p. 8). It provides acquisition of the surface image of the investigated object. Installed on the base unit;
- 3. Laser radiation delivery and recording system (see sec. 2.3 on p. 15). It serves for linking the spectral unit with the measuring head. Mounted on the holder of the base unit;
- 4. Spectral unit, including:
 - _ Spectrometer (see sec. 2.4 on p. 17). It serves to carry out spectrometry measurements and to form the image;
 - Lasers (see 2.6 on p. 21). Generate radiation that is delivered to the spectrometer or to the measuring head through the optical fiber transport system;
 - Optical fiber transport system (see 2.7 on p. 23). It serves for delivery of laser radiation to the spectrometer or to the measuring head;
- 5. Detectors (see sec. 2.5 on p. 18). Serve for detecting signals coming from the investigated object. The spectrometer can be supplied with the following detectors:
 - CCD camera;
 - APD module;
 - PMT module;



- 6. Optical viewing system (see Appexdix 1 on p. 99). Provides monitoring the sample both at normal and at small angle to the sample surface for following purposes:
 - aiming the laser beam at the cantilever;
 - selecting the scan range on the sample surface;
 - visual inspection of the probe in processes of approaching and scanning.
- 7. Control system:
 - Main SPM controller;
 - Slave SPM controller;
 - Computer;
 - Interface boards.

The main units of the NTEGRA Spectra PNL are shown in the block diagram of the Fig. 2-2.



Fig. 2-2. NTEGRA Spectra PNL block diagram

2.1. Base Unit

The base unit is shown in Fig. 2-3.



Fig. 2-3. Main components of the base unit 1 – exchangeable mount; 2 – stepper motor; 3 – temperature and humidity sensor; 4 – LC-display

The base unit is contains a stepper motor with an approach mechanism that serves to place a sample under the probe.

Data on ambient temperature and humidity are measured with the sensor 3 and then displayed by the LC-display 4.

Base unit electrical slots

Slots for connecting the devices installed on the base unit:

HEAD	- two identical connectors for connecting the measuring head;	
SCANNER	- scanner connector, used for connecting either the exchangeable scanner or the measuring head scanner;	
SCAN+SENSOR	 – connector for the scanner or for the scanner with built-in sensors, used for connecting either the exchangeable scanner or the measuring head scanner; 	
T°	-connector of a heating element, used for connecting a heating stage, heating liquid cells etc.;	
SM	– connector of the stepper motor;	
AFAM	- connector of the ultrasonic piezoelectric transducer;	
BV	- bias voltage jack, used for applying bias voltage to the sample.	

Slots for connecting the bias unit to the control system:

CONTROLLER 1 – connector for connecting to the **HEAD** connector of the SPM controller;

CONTROLLER 2 – connector for connecting to the **CONTROLLER 2** connector of the SPM controller;

CONTROLLER T° – connector for connecting to the thermocontroller.

Exchangeable mount

The exchangeable mount is installed into the base unit of the device and is fixed with three screws. Design of the PNL allows for several types of the exchangeable mount. Here, a mount of SCC01NTF model is used.

Basic parts of the exchangeable mount are shown in Fig. 2-4.



Fig. 2-4. Exchangeable mount 1, 2 – measuring head seats; 3 – mirror mounting frame; 4 – positioning device; 5 – measuring head cables holder; 6 – approach mechanism cylinder; 7 – grounding jack; 8 – screws for fixing to the base unit.

There are two types of sockets on the exchangeable mount (see pos. 1, 2 in Fig. 2-4). Depending on its type, a measuring head can be installed either into the slots 1 or into the slots 2.

The frame 3 is used as a support of subsidiary mirrors of the optical viewing system.

The positioning device 4 (Fig. 2-5) holds a device that carries the sample (sample holder, scanner *etc.*).



Fig. 2-5. Scanner with movement sensors mounted on the positioning device

The positioning device is placed on the movable cylinder of the approach mechanism 6. This enables to move the sample up and down.

The microscrews 1 and the spring stops 2 (Fig. 2-6) provide adjusting in the XY plane.



Fig. 2-6. Positioning device 1 – microscrews; 2 – spring stops

2.2. Optical Measuring Head

The optical measuring head is a dedicated version of the scanning probe microscope. Its probe is located under a lens of large numerical aperture and of high spatial resolution.

The optical measuring head provides an option of side illumination of the sample with laser radiation. This option needs the side illumination module to mount on the measuring head.

Optical measuring head (Fig. 2-7) (hereinafter – measuring head) consists of the following components:

- Optical cantilever deflection detection system;
- Universal lens;
- Universal lens holder;
- Probe holder.

The probe holder is exchangeable. Type of the probe depends on the measurement mode. The following holders are used for different measurement modes:

- AFM probe holder;
- STM probe holder.

The optical cantilever deflection detection system 1 is mounted on the assembly of the lens holder 2 (Fig. 2-7). The lens hovers with a system of elastically deformed arms that provides high accuracy of beam focusing on the object as well as good stability of the assembly. The unit mount 3 contains microscrews for positioning the probe.



Fig. 2-7. Optical AFM measuring head 1 – optical cantilever deflection detection system; 2 – lens holder; 3 – mount with the probe holder and the positioning device

Main components of the AFM optical measuring head are shown in Fig. 2-8.



Fig. 2-8. Measuring head 1, 2 – screws for XY probe adjusting; 3, 4 – photodiode mirror adjusting screws; 5 –screw for fine focusing of the lens; 6, 7 –screws for XY lens adjusting; 8 – lens positioning device spring stop; 9 – entrance aperture; 10, 11, 12 – screw legs; 13 – lens; 14 –spring stop of the probe holder positioning device

The measuring head serves for measurements with confocal microscopy. Besides, contact and semi-contact modes of AFM as well as STM techiques are available.

The main functional component of the measuring head is the **Mitutoyo M Plan Apo 100** lens (pos. 13 in Fig. 2-8). The numerical aperture of the lens is 0.7 and the focal length is 2 mm while the working distance is 6 mm. The lens provides the the following options along with conventional AFM scan techniques:

- Viewing the sample with resolution limited by 0.4 μm;
- Delivery and focusing the exciting laser radiation;
- Aiming the laser beam at the probe's tip;
- Detecting the probe deflection (needs an additional semiconductor laser diode to generate radiation of 650 nm or 830 nm wavelength).

The screw for fine focusing of the lens 5 is located in front of the lens. The microscrews 1 and 2 move the probe holder relative to the lens. Moving the probe holder provides directing the laser beam of the optical cantilever deflection detection system (hereinafter referred to as detection system) to the cantilever reflective surface. The photodiode mirror is adjusted with the screws 3 and 4 so that the beam reflected from the probe could come directly to the centre of the photodiode. For adjusting the measuring head in angle and height, three screw legs 10, 11, and 12 are used. The microscrews 6 and 7 provide positioning of the lens against the probe and external optical devices.

and)

ATTENTION! Height adjustment of the legs 10, 11, and 12 is not recommended as this can misalign the optical system.

Cantilever deflection in AFM modes is detected with the detection system operating a semiconductor laser (with $\lambda = 830$ nm or 650 nm) that supplies radiation through the lens. The system dichroic mirror selectively reflects the radiation of the semiconductor laser. It provides a wide spectral range ($400 < \lambda < 800$ nm for the laser with $\lambda = 830$ nm) thus allowing for observing the sample through the optical microscope and for optical exciting the sample surface. High sensitivity of the detection system is due to a special design of the laser formation system.

Confocal microscopy uses a special probe (Fig. 2-9) with the tip being outside the edge of the cantilever.







Fig. 2-10. AFM probe

Parameter	Value
Sample size	Up to Ø40×10 mm
Scanning type	Sample
Scanning range	100×100×7 μm
Lens numerical aperture	0.7
Optical resolution	0.4 μm
Lens focal distance	2 mm
Visual field	~80 µm
Laser wavelength	 830 nm in working spectral range 400÷800 nm;
	 650 nm in working spectral range 400÷600 nm, 700÷900 nm
Dimensions (L×W×H)	150×130×130 nm
Weight	1.5 kg

Table 2-1. Optical measuring head specification

2.2.1. AFM Probe Holder

AFM probe holder (see Fig. 2-11) is placed on the measuring head. It can be replaced with another holder if necessary. It serves for measurements in AFM modes.



Fig. 2-11. AFM probe holder

Design of the AFM probe holder is shown in Fig. 2-12. The probe is placed on the sapphire bench-shaped support and then fixed with the clamping spring 2. The spring moves up and down with the handle 3.



Fig. 2-12 Design of the AFM probe holder 1 – sapphire support; 2 – clamping spring; 3 – handle

The AFM probe holder is placed in the mount of the measuring head and then secured with the spring stop 1 (see Fig. 2-13). The screws 2 and 3 serve to move the probe holder for adjusting the optical cantilever deflection detection system. The AFM probe holder is connected electrically to the slot 4 in the measuring head (see Fig. 2-13).



Fig. 2-13. AFM probe holder installed on the measuring head 1 – spring stop; 2, 3 – screws for X and Y movement of the probe; 4 – probe holder connector

2.2.2. STM Probe Holder

STM probe holder (see Fig. 2-14) is placed on the measuring head. It can be replaced with another holder if necessary. It serves for measurements in the STM mode.



Fig. 2-14. STM probe holder

Design of the STM probe holder is shown in Fig. 2-15. The probe 1 is inserted into slots of the holder 2 and then fixed with the spring 3. Protrusion of the sharpened end of the probe outside the holder should not exceed $3\div4$ mm.



Fig. 2-15 Design of the STM probe holder 1 – probe; 2 – holder; 3 – clamping spring

The STM probe holder is mounted on the measuring head quite similarly to the AFM probe holder (see Fig. 2-13). The STM probe holder is connected electrically to the **HEAD** socket in the base unit of the instrument. With the STM probe holder, the socket of the measuring head is left open.

2.2.3. Side Illumination Module

To operate with side illumination of the sample, the measuring head is equipped with the exchangeable module for side illumination (see Fig. 2-16). The side illumination module provides delivery of the exciting laser radiation at angle of 60° to normal to the sample surface as well as aiming the laser beam at the probe tip. Positioning of the laser beam on the sample is controlled with the micrometric screws 1 and 2, while focusing uses the screw 3.



Fig. 2-16. Side illumination module 1, 2 – screws for X and Y movements of the laser spot; 3 – screw for fine focusing of the laser beam; 4 – positioning device; 5 – entrance aperture

The side illumination module is secured with four screws on the front side of the lens holder (Fig. 2-17).



Fig. 2-17. Side illumination module installed on the measuring head

Laser radiation comes into the side illumination module through the port 5. To focus the laser beam on the cantilever, the focusing module is used (Fig. 2-18). This module is screwed into a hole that is located inside the side illumination module. Focusing modules for different radiation wavelengths are available.



Fig. 2-18. Focusing module

2.3. Radiation delivery and recording system

The radiation delivery and recording system (Fig. 2-19) provides linking the spectral unit with the measuring head. The module is mounted to the back of the base unit and to the Optical viewing system holder (see Appendix 1 on p. 99).



Fig. 2-19. Radiation delivery and recording system 1, 2 – adjusting screws of the steering mirror (M2); 3 – laser beam focusing screw (L1); 4 –scanner; 5, 6 – adjusting screws of the steering mirror M1; 7 – a turret with exchangeable mirrors (M3); 8 – entrance aperture; 9 – stopping screw; 10 – adjusting ring

Schematics of delivery and detection of laser radiation is presented in Fig. 2-20 where the input beam is colored with green and the reflected one (used for detection) is red.

With the side illumination of the sample, the radiation delivery and recording system operates only with the reflected beam. In this case, the laser beam is delivered through the side illumination module.

and

ATTENTION! For adjusting the laser beam, use the screws 1, 2, and 3. Do not turn the screws 5 and 6 and the screws 9 and 10 located on the radiation feedthrough as this can misalign the optical system.

The exciting laser radiation comes through the entrance aperture 8 of the radiation feedthrough. For fine positioning of the radiation on the entrance aperture 8, the radiation feedthrough can move vertically (with the adjusting ring 10) for ± 10 mm and rotate around its axis. The stopping screw 9 fixes the feedthrough in the desired position.



Fig. 2-20. Schematics of delivery and detection of laser radiation M1 – mirror; L1, L2 – lenses; M2 – steering mirror; M3 – exchangeable mirror; 1, 2 – adjusting screws of the steering mirror; 3 – laser beam focusing screw; 4 –scanner; 7 – turret with exchangeable mirrors

Radiation delivery and recording system contains a mirror M1 (Fig. 2-20) at its entrance. With the screws 5 and 6, the mirror M1 is aligned so that the laser beam is directed along the lens L1 axis.

The screw 3 is used to move vertically the lens L1 that focuses the laser beam on a point located in the front focal plane of the lens L2.

The lens L2 patterns the spot (taken from the mirror M2) in the entrance pupil of the measuring head lens. Thereby, a laser beam comes from the steering mirror M2 and goes to the lens pupil under various angles, but it invariably falls into the center of the pupil.

The steering mirror M2 can be controlled either manually with the screws 1, 2 or instrumentally by the piezoelectric scanner 4. The mirror M3 is located on the turret and can be changed. The turret has four states that correspond to four types of mirrors:

- M0 totally reflecting mirror. With this option, no portion of the laser beam transmits the mirror and so image on the display is unavailable.
- M1 partially transparent mirror (reflection to transmission ratio is 10/90). The display shows an image of the sample and of the laser spot.

- M2 mirror is absent and the laser radiation does not fall onto the sample. The display shows only an image of the sample.
- M3 custom mirror. In particular, this can be a mirror with a high reflection in the range of 600÷800 nm and a high transmission outside it for the laser with the wavelength of 633 nm.

2.4. Spectrometer

Raman spectrometer is used to carry out spectroscopy and to form the image. A detailed description is given in the manual *Raman Spectrometer*. User's Manual.



Fig. 2-21. Raman spectrometer

Modularity of the spectrometer structure, a wide variety of lasers and microscopes, high-precision elements for operation with three laser, automatic adjustment of optical components after switching between the lasers – all this factors make the system flexible thus enabling a wide range of applications to perform.

2.5. Detectors

CCD-camera

CCD-camera (Fig. 2-22) provides a wide measurement range and has brilliant spectral and time characteristics. It contains a built-in thermoelectrical cooling system (Peltier element), which allows to cool the CCD-matrices down to -90 °C.



Fig. 2-22. CCD-camera

Table 2-2.	CCD-camera	technical	characteristics
	CCD bannona		0110100100100

Parameter	Value
Quantity of sensors (pixels)	1024×255
Sensing element size	$26 \ \mu m^2$
Active area size	$26.6 \times 6.7 \text{ mm}^2$
Vertical scanning rate	16 ms

NOTE. Model of the CCD-camera can change without prior notice.

APD module

Avalanche photodiode (APD module) (Fig. 2-23) serves for counting photons during a predefined time intervals. It contains a built-in cooling system which provides cooling the device thus lowering the thermal noise.



Fig. 2-23. ADP module



Fig. 2-24. Spectral range

Table 2-3. APD module technical characteristics

Parameter	Value
Ambient temperature	+5÷40°C
Working range at temperature 22°C	400÷1060 nm
Active area diameter	175 μm
Maximum light intensity	10 000 photons/sec



NOTE. In some configurations of NTEGRA Spectra PNL, the ADP module is missed or replaced by a cooled PMT.

PMT module

Photomultiplier module (PMT module) (Fig. 2-25) serves for converting optical radiation acquired from the sample into electrical signals.



Fig. 2-25. PMT module







Parameter	Value
Sensitivity (at 420 nm)	$3.0 \times 10^{10} \text{ V/W}$
Operating spectral range	185÷850 μm
Output voltage shift	±3 mV
Current-to-voltage conversion gain	$1 \times 10^6 \text{ V/A}$
Frequency range	from 0 to 20 kHz

Table 2-4. PMT module technical characteristics

2.6. Lasers

Depending on configuration, the delivery set of the NTEGRA Spectra PNL may contain from one to three lasers. The standard configuration contains He-Ne, diode and solid-state lasers. Besides, equipping with some additional lasers of a wide wavelength range (from 350 to 850 nm) is possible.

and a

ATTENTION! Direct and scattered radiation is hazardous to eyesight. Avoid eye contact with laser radiation.

LM473 solid-state laser

Table 2-5. Specifications of the solid-state laser

Parameter	Value
Transverse mode	TEM ₀₀
Wavelength	473 nm
Polarization	linear
Degree of polarization	100:1
Output power	max 50 mW
Beam divergence $(1/e^2)$	< 1.2 mrad
Long-Term Drift	< 3 %
Warm-up Period	< 15 minutes
Operating temperature	10 ÷ 40 °C

LM532 diode laser

ParameterValueTransverse modeTEM00Wavelength532 nmPolarizationlinearDegree of polarization100:1Output powerup to 22±2 mWBeam divergence (1/e²)0.6±0.1 mrad

< 2 %

< 10 minutes

15 ÷ 50 °C

Table 2-6. Specifications of the diode laser

LM633 Helium-Neon laser

Long-Term Drift

Warm-up Period

Operating temperature

Table 2-7. Specifications of the Helium-Neon laser

Parameter	Value
Transverse mode	TEM ₀₀
Wavelength	633 nm
Polarization	linear
Degree of polarization	> 500:1
Output power	Up to 35 mW
Pointing stability	< 0.03 mrad after 30 minutes
Beam divergence $(1/e^2)$	0.66 mrad
Long-Term Drift	±2 %
Warm-up Period	< 15 minutes
Operating temperature	-20 to 40 °C

2.7. Optical Fiber Transport System

Optical fiber transport system (hereinafter – OTS) and radiation feedthrough (see Fig. 2-28) are designed for easy, safe and secure transfer the laser radiation to the NTEGRA Spectra PNL entrance port or immediately to the sample when the side illumination module is used.



Fig. 2-28. Radiation feedthrough and OTS

Polarization plane of the radiation is defined by the line mark on the **Output** socket of OTS (see Fig. 2-29). Rotate the socket to the desired position when installing OTS.



Fig. 2-29. **Output** socket. Line mark indicates the polarization plane

Table 2-8. OTS technical characteristics

Parameter	Value
Fiber type	single-mode
Pigtail length	2÷3 m
Beam diameter at entrance	0.65 mm
Transmission efficiency	> 65 %
Output beam divergence	diffraction limit
Maximum input power	$\leq 100 \text{ mW}$

3. Principle of Operation of the Optical Spectral System



Optical layout of the NTEGRA Spectra PNL is shown in Fig. 3-1.

Fig. 3-1. Simplified optical diagram of the NTEGRA Spectra PNL
1 - triple input unit; 2, 3, 4, 6, 7 - mirrors; 5 - 97% mirror; 8 - beam expander;
9 - turret with three sets of changeable edge or notch filters; 10, 11 - lenses;
12, 13 - adjustable pinhole; 14 - diffraction gratings;
15, 16 - adjustable density neutral filter; 17, 18 - polarizer; 19 - filter cartridge;
PMT - photomultiplier tube; CCD - camera; APD - avalanche photodiode

The laser beam goes from the triple input unit 1, passes the mirrors 2 and 3, the adjustable density neutral filter 15 and a polarizer 17, and comes to the beam expander 8. The laser beam expander 8 automatically adjusts the diameter and divergency of the beam regarding the laser in operation. The mirrors 4 and 5 direct the beam to the notch filter 9 that reflects the beam to the radiation delivery and recording system. The measuring head lens focuses the beam on the sample.

Fig. 3-2 explains the NTEGRA Spectra PNL layout with the side illumination module employed.



Fig. 3-2. Simplified optical functional diagram of the NTEGRA Spectra PNL with the side illumination module employed
1 - triple input unit; 2, 3, 4, 6, 7 - mirrors; 5÷97% mirror; 8 - beam expander;
9 - turret with three sets of changeable edge or notch filters; 10, 11 - lenses;
12, 13 - adjustable pinhole; 14 - diffraction gratings;
15, 16 - adjustable density neutral filter; 17, 18 - polarizer; 19 - filter cartridge; 20 - OTS;
21 - focusing module; PMT - photomultiplier tube;
CCD - camera; APD - avalanche photodiode

The laser beam goes from the triple input unit 1, passes the mirrors 2 and 3, and comes into the optical fiber transport system 20. Further, the beam passes the focusing module 21 and impinges the sample at angle of 60 ° to the vertical direction being focused with the lenses.

Radiation scattered by the sample is detected with one of the schemes below for both layouts explained above.

Laser optical scheme

Laser optical scheme is designed to form the confocal image of the sample by the elastically scattered radiation. Besides, this scheme is useful for juxtaposing the probe tip and the focus of the laser beam.

The scattered radiation passes through the measuring head lens. Then, after reflection by the notch filter 9 and by the mirror 4, it is collimated and transferred back into the spectrometer. A portion of the scattered light (\sim 3 %) passes through the mirror 5 and comes to the Reflection unit. The lens 11 focuses the beam on the adjustable pinhole 12. If necessary, the laser beam can be attenuated with the adjustable circular density neutral filter 16 before directing it to the PMT.

A confocal 2D or 3D image is formed by simultaneous scanning the sample and recording the scattered intensity with the PMT.

Spectral optical scheme

Spectral optical scheme is designed to form the confocal image of the sample by the secondary radiation that is composed of spectral components absent in the illuminating light.

Luminescent radiation emitted by the sample is collimated by the measuring head lens. Then, it passes the notch filter 9, the polarizer 18 and the filter cartridge 19. After focusing with the lens 10, the secondary radiation passes the adjustable pinhole 13 at the monochromator entrance. Analysis of the secondary radiation is performed either by collecting its spectral composition the CCD-camera or by detecting intensity of a particular spectrum line (selected by positioning the diffraction grating 14 and the mirror 7) with APD or PMT (incl. photons calculation mode). If the monochromator is equipped with a mirror instead of the diffraction grating, the desired wavelength is selected by the notch filter of the filter cartridge 19.

4. Basic Safety Measures

General Safety Measures

- Ground the instrument before operation!
- Do not disassemble any part of the instrument. Disassembling of the product is permitted only to persons certified by NT-MDT Co.
- Do not connect additional devices to the instrument without prior advice from NT-MDT Co.
- The instrument contains precision electro-mechanical parts. Therefore, protect it from mechanical shocks.
- Protect the instrument against the influence of extreme temperature and moisture.
- For transport, provide proper packaging of the instrument to avoid its damage.

Electronics

- Before operation, set the voltage selector of the SPM controller to the position corresponding to local electrical power line (this is only done with the controller being off!).
- Switch the instrument off before any operation on connecting/disconnecting its cable connectors. Disconnecting or connecting the cable connectors during operations may cause damage to the electronic circuit and disable the instrument. A warning label is attached to the SPM controller of the instrument (Fig. 4-1).



Fig. 4-1

Laser

- Observe safety measures for operation with devices containing sources of laser radiation. See the warning label on the back panel of the instrument (Fig. 4-2).



Fig. 4-2

Optical components

Optical components include the following units and elements:

- optical elements in the spectrometer unit;
- Optical Fiber Transport System (OTS);
- laser.

To avoid contamination of the optical elements with dust or moisture, keep the room clean and never disperse sprays or other substances near the instrument. Do not touch the optical elements. For cleaning the optical components, use special cleaning sets.

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ATTENTION! Never uncover the spectrometer without extreme necessity. Only an authorized person is permitted to clean elements of the spectrometer. In the case of contamination, request for help to the manufacturer.

When handling the OTS, do not curve a fiber-optic cable and never exceed the limit radiation power. For storing or transporting, cover the slots with the special caps. If the entrance or the exit aperture is contaminated, do not use the instrument and contact the manufacturer.

You must be extremely careful when using a laser!

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ATTENTION! Do not look at the exit aperture or at the OTS. Remember that laser radiation can damage your eyesight even after reflection.

Adjust optical elements with minimum power of the laser. Use eye protectors to reduce the laser's power.
Radiation detectors

Radiation detectors include CCD-camera and APD (PMT).

When storing or handling the CCD-camera, avoid ingress of contamination into the camera. Before connecting the water cooling system, turn off the computer and disconnect the camera from the computer. Prevent ingress of moisture into the camera case. Keep the vent holes on the case open when using the camera. Prevent too intensive illumination of the camera. Make sure that the camera is at the room temperature when finishing the work.

All operations with the disconnected APD must be carried out in a dark room. Control the intensity of the light falling onto the APD. Too intensive light can damage the photo detector.

5. Operating Conditions

For normal operation of the instrument, the following operating conditions are recommended:

- Ambient temperature: +18÷23°°C;
- Temperature stability: better 1°C per hour;
- Relative humidity less 60 %;
- Atmospheric pressure: 760 ±30 mmHg;
- Supply voltage 220 V (+10%/-15%), 50/60 Hz with grounding;
- The working room must be protected from mechanical vibrations, airflows and acoustic noise, both internal and external;
- Use a massive vibration-isolating optical bench for mounting the PNL;
- RMS vibration measured in the 1/3 octave frequency band must not exceed the values given in the graph;



- The device must be protected from the direct sunbeams;
- The base unit must be located on a separate table, away from the computer and from monitors, to reduce electromechanical noise.

Heat flow and draughts badly influence the instrument.

Do not operate the PNL if temperature or humidity is outside the recommended limits.

6. Storage and Transport Regulation

Storing

The units must be stored in package in a clean room with a stable temperature and humidity:

- storage temperature (20±10) °C;
- Storage humidity less 80 %.

Transportation

- Transport the device in a package, excluding possible damage.

7. General Requirements on Installation

NTEGRA Spectra PNL is a precise optical device. Special requirements on the work room, on the power supply system, and on the optical table should be met.

NTEGRA Spectra PNL should be installed in a dark room of area of $12 \text{ m}^2 (3 \times 4 \text{ m}^2)$. The room must be equipped both with ceiling lighting and with a separate source of weak scattered light.

A system of thermal stabilization or, at least, of good ventilation should be provided. Remember that an operating laser can disperse up to 4 kW of heating power.

Keep dust concentration as low as possible.

The electric wiring of the room must meet the following requirements:

- at least 8 standard voltage sockets for mains 220 V/50 Hz with the total power of 1 kW. These are used to supply the microscope, the spectrometer, the controllers, computers and monitors;
- at least 8 standard voltage sockets for mains 220 V/50 Hz with the power of 4.5 kW (current up to 20 A). These are used to connect the laser.

Other supply voltage lines are defined by the user.

The CCD-camera needs water cooling for operation. Thus, the corresponding infrastructure must be provided in the room.

The PNL is mounted on an optical table of dimensions $2x1 \text{ m}^2$ or bigger with a standard net of threaded connections M5 (grid pitch 50 mm).

8. Setup and Installation

The NTEGRA Spectra PNL is put into operation by an authorized person after installation. Restarting the instrument is needed only if the exciting laser has been changed.

8.1. Preparing the Spectrometer

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8.1.1. Installing and Aligning the Optical Fiber Transport System

ATTENTION! Do not look through the laser exit aperture. Direct or scattered laser radiation is hazardous and may cause eye injuries.

To install and align the optical fiber transport system, perform the following steps:

- 1. Put the laser radiation feedthrough onto the laser source of the optical fiber transport system.
- 2. Put an opaque screen (a sheet of paper) in front of the laser exit aperture. Choose the minimal laser power and turn it on. Close the exit aperture with a shutter.
- 3. Insert the alignment auxiliary tube 1 into the bush and then, not applying excessive effort, and fasten it with the central backing-up screw 2 (Fig. 8-1).



Fig. 8-1. Laser radiation feedthrough 1 – auxiliary tube; 2 – central backing-up screw; 3 – vertical adjusting screws; 4 – horizontal adjusting screws

- 4. Open the laser shutter.
- 5. The first stage of the adjustment is aimed at finding the proper position of the shutter in the XY plane. Carefully rotate the adjusting screws in pairs, vertical and horizontal (Fig. 8-2). Try to change the depth of twisting-in the screws in pair for the same value. Follow the spot on the display. The brighter is it, the more precise is the adjustment.



Fig. 8-2. Positioning the OTS input slot

- 6. Now, the input slot butt is to be positioned in the XY plane with the α and β angles reduced to zero. Rotate the adjusting screws by turn and follow the spot on the display to the effects. With proper adjustment, you will see a bright spot in the center and several symmetric rings of scattered light (you may probably see a slight violet plasma line).
- 7. Carefully close the laser gate. Remove the auxiliary tube not touching the screws.
- 8. Install the auxiliary tube to the shutter with the other end.
- 9. Repeat steps $4 \div 7$.

With proper adjustment, the laser beam optimally passes through the diaphragm of the auxiliary tube in its both positions.

10. Insert the **INPUT** end of the transport optical fiber into the bush firmly. Make sure that the polarization key enters the pocket on the radiation feedthrough shutter.

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ATTENTION! The OUTPUT end of the optical fiber is tightly fixed in the spectral unit. Removal of the optical fiber end from the spectral unit will totally misalign the system.

- 11. In the Control program, choose the **Beam Splitter** and **Beam expander** parameters corresponding to the wavelength of the laser.
- 12. Set the ND filter to the "**0**" position.
- 13. Open the exit shutter of the laser.
- 14. Put a white opaque screen (a sheet of paper) at the exit of the spectral unit. A light spot should be seen on it. Adjust the spot by inspecting brightness of the image on the display. The brighter is the image, the better is adjustment. After optimizing the spot, carefully direct the laser beam from exit of the spectral unit to the photometric unit.
- 15. Next, adjust the laser beam with indications of the photometer. Now, you have to find the proper position of the input slot butt in the XY plane and reduce α and β to zero. Rotate all the adjusting screws and inspect indications of the photometer. The system is adjusted when the OTS passes more than 60% of the laser power and the spectral unit passes more than 40% of the power.

16. Finish the adjusting procedure by fixing the screws with the fixing nuts.

This completes adjusting the optical fiber transport system.

8.1.2. Installing the Spectrometer and the Optical Microscope

The spectrometer unit and the inverted optical microscope are the main parts of the NTEGRA Spectra PNL. The PNL scheme requires that these parts are installed in the same plane and hardly fixed on the optical table (Fig. 8-4).

Installing and adjusting the spectrometer is performed by a service engineer.

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ATTENTION! Do not move the spectral unit manually. Any displacement of the spectral unit or the base unit of the INTEGRA instrument will misalign the system.

Fig. 8-3 and Fig. 8-4 show how to connect the spectrometer cables and to locate the spectrometer relatively to the microscope.



Fig. 8-3. Spectrometer cable connections

The base unit is placed to the left of the spectrometer (Fig. 8-4) so that the laser beam coming from the spectrometer would fall into the laser radiation feedthrough located in the base unit.



Fig. 8-4. Location of the base unit, the spectrometer, and the lasers (top view)

8.2. Connecting the Electromechanical Units

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ATTENTION! Before connecting or disconnecting any parts of the instrument, power off the controller. Any connections during the instrument operation may result in damage of the electronic circuitry.

The NTEGRA Spectra PNL instrument operates under control of two controllers, main and slave. The main controller model depends on the size of the exchangeable mount - BL222NNTF (BL222RNTF) for the 50- μ m or BL227NNTF (BL227RNTF) for 100- μ m exchangeable mount. The slave controller model is BL222SS.

Commutation schematic of NTEGRA Spectra PNL is shown in Fig. 8-5. Below, the procedure on connection of electromechanical units is explained.



Fig. 8-5. Commutation schematics of the NTEGRA Spectra electromechanical units

To connect electromechanical units, perform the following steps:

1. Release the spring clips (Fig. 8-6) and install the scanner into the positioning device as it is shown in the Fig. 8-7.



Fig. 8-6. Spring clips



Fig. 8-7. Scanner installed in the positioning device

- 2. When using a scanner with sensors, connect the scanner slot to the **SCAN+SENSOR** located on the base unit. When using a scanner without sensors you may connect the scanner connectors to the **SCANNER** slot or to the **SCAN+SENSOR** slot.
- 3. Connect the measuring head to the **HEAD** slot at the base unit.
- 4. Connect the base unit to the main controller by connecting the **CONTROLLER1** socket of the base unit to the **HEAD** socket of the main controller with the first cable and the **CONTROLLER2** socket of the base unit to the corresponding socket of the main controller with the second cable.
- 5. Connect the spectral unit to the **COM** port of the computer.
- 6. Connect the PMT module to the **EXTENSION** socket of the main controller.
- 7. Connect the radiation delivery and recording system to the **CONTROLLER2** socket of the slave controller.
- 8. Connect the CCD-camera, the APD module, the main and the slave controllers to the interface boards of the computer.
- 9. Before operation, set the power switch of the SPM controllers to the position corresponding to value of the local electrical power line (this is only done with the controller being off!).
- 10. Connect the controllers to the power point.
 - ATTENTION! Controllers can be connected to an electrical power supply line of 110/220 V (60÷50 Hz) after setting the voltage selection switch to the position corresponding to this power supply line. Not following this instruction may cause damage to the electronic components.

9. Powering Sequence

Switching on

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ATTENTION! Tighten up all connectors before turning the controllers on. Disconnection of connectors during operation may cause damage to the electronic components.

- 1. Switch on the spectrometer pushing the button at the power box.
- 2. Switch on the main and the slave controllers using the toggles at the front panel.
- 3. Switch on the computer.

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ATTENTION! Launch the Control program only with the controllers and the spectrometer switched on.

- 4. Launch the Nova program with one of the following methods:
 - Using a shortcut located on the Desktop;
 - Using the **Nova.exe** file located in the program directory.

A **Nano30PRE-starting** (Fig. 9-1) dialog box will open to indicate the controllers initialization process.

Nano30 Pre-starting	
 ND filter Beam Expander Beam Splitter Half Wave Plate Objective XYZ ND filter Pinhole Bandpass Filter Polarizer Objective XYZ Pinhole Exit Slit Change of grating 	
Type of pre-starting procedure	
○ Full	
Start	

Fig. 9-1. Nano30 Pre-starting dialog

- 5. Select the desired options.
- 6. Click the **Start** button. This will initialize the selected movable elements. Main window of the Nanospectrum control program will be shown on the display.
- 7. Switch on the lasers.

In the left bottom of the Main window, a device status indicator is displayed. The indicator may be in one of three states:



- initialization was successful. The device is ready to work;



- the controller is switched off;



- the interface board is not installed, or the controller is not valid.



NOTE. It is recommended to start the instrument 40 minutes before starting measurements for proper warm-up.

The device is now ready for operation.

Switching off

- 1. Retract the probe from the sample.
- 2. Disable the feedback.
- 3. Warm the CCD matrix to the normal conditions.
- 4. Disable the laser.
- 5. Disable the controllers.
- 6. Switch off the spectrometer.
- 7. Close the Control program.

10. Preparing for AFM Measurements

Preparation for AFM measurements includes the following operations:

- 1. Preparing and Installing the Sample (i. 10.1 on p. 41).
- 2. Installing the Side Illumination Module (i. 10.2 on p. 47)
- 3. Installing the AFM Probe holder (i. 10.3 on p. 48).
- 4. Installing the AFM Probe (i. 11.4 on p. 49).
- 5. Installing the Measuring Head (i. 10.5 on p. 51).
- 6. Installing the Optical Fiber Transport System (i. 10.6 on p. 52).
- 7. Launching the Control Program (i. 10.7 on p. 54).
- 8. Adjusting the Spectral Unit

To adjust the spectral unit to the laser wavelength, perform the following steps:

- (i. 10.8 on p. 55).
- 9. Adjusting the Optical Cantilever Deflection Detection System (i. 10.9 on p. 59).

These operations will be explained in details below.

10.1. Preparing and Installing the Sample

The instrument allows for measuring samples of $40\div50$ mm in diameter and up to 10 mm in thickness. The samples are fixed on a substrate that is installed onto the scanner sample stage.

Two types of measuring scanners are available. So, the procedure on preparing and installing the sample depends on the scanner type and should be done in one of two following ways:

- Preparing and installing the sample on the scanner with sensors:;
- Installing the sample on the scanner without sensors.

These operations will be explained in details below.

Preparing and installing the sample on the scanner with sensors:

The scanner substrate with capacitive sensors is a ferromagnetic disc (Fig. 10-1), which is installed onto a magnetic holder located in the scanner. The sample is fixed to the substrate using a double-sided adhesive tape or glue.



Fig. 10-1. Metal substrate



Fig. 10-2. Sample stage

A sample can also be mounted on a sapphire substrate of dimensions $24 \times 19 \times 0.5$ mm. This option needs the special sample stage (see Fig. 10-2) to be used.

To prepare and install the sample, perform the following steps:

- 1. Prepare a clean metal or sapphire substrate. Cut off a strip of a double-sided adhesive tape, slightly wider in size than the sample.
- 2. Stick the adhesive tape to the substrate, smooth its surface out with the back of the tweezers to remove air bubbles between the substrate and the adhesive tape.
- 3. Put the sample on the adhesive tape and carefully press it with tweezers in several places (not touching the area intended for the investigation).

NOTE. After the sample is fixed on the substrate, a noticeable vertical drift of the sample can occur within one hour (due to the slow relaxation of sticky tape). This drift should be taken into account. If the task requires the minimum drift, prepare the sample beforehand (at least, an hour before the investigation).

4. Place the sapphire substrate with the sample on the sample stage. Insert the substrate from the side of two support balls under the spring clips so that the clipses secure the substrate while the substrate bottom is supported by the three support balls (see Fig. 10-3). If a metal substrate is used, skip this step and go to i. 5.



Fig. 10-3. Sapphire substrate on the sample stage

ATTENTION! When working with a thick sample, you need to account for its height in the program. The default value of the sample is set to 0.5 mm (For more details how to set up the sample height see p. 3).

5. Place the metal substrate on the magnetic fastener of the scanner or on the sample stage with a sapphire substrate (see Fig. 10-4). The sample stage should come to the center of a magnetic holder and lay on the three support balls.

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Fig. 10-4. Loading the sample into the scanner with sensors

Installing the sample on the scanner without sensors

Samples are fixed to special polycrystalline sapphire substrates, which are available in the hardware set of the instrument. Dimensions of these substrates are $24 \times 19 \times 0.5$ mm. The sample fixes to the substrate with double-sided adhesive tape or glue.

NOTE. Any plate of 0.5 mm thickness can be used as an adapter substrate. This plate should be wide enough to allow the spring clips of the sample holder (or the sample stage) to retain it firmly.

The substrate SU001 is recommended for use with small size samples (less than $10\div12$ mm diameter). The substrate SU002 is recommended for use with bigger size samples (greater than $10\div15$ mm diameter).



NOTE. For studying a transparent sample, the SU002 substrate is recommended because the SU001 substrate may emit some spectral lines to the acquired spectrum thus worsening experimental results.



Fig. 10-5. Substrate SU001

Fig. 10-6. Substrate SU002

NOTE. To mount a small sample, use the substrate SU001 with an about 2mm thick adapter plate glued to it. Thickness of the plate should be large enough to raise the sample above the spring clips of the sample stage, while its width should be less than the distance between the clips. The sample is fixed to the adapter plate.

To prepare and install the sample, perform the following steps:

- 1. Prepare a clean sapphire substrate. Cut off a strip of a double-sided adhesive tape, slightly wider than the sample.
- 2. Stick the adhesive tape to the substrate, smooth its surface out with the back of the tweezers to remove air bubbles between the substrate and the adhesive tape.
- 3. Put the sample on the adhesive tape and carefully press it with tweezers in several places (not touching the area intended for the investigation)

NOTE. After the sample is fixed on the substrate, a noticeable vertical drift of the sample can occur within one hour (due to the slow relaxation of sticky tape). This drift should be taken into account. If the task requires the minimum drift, prepare the sample beforehand (at least, an hour before the investigation).

- 4. Place the sapphire substrate with the sample on the sample stage. Insert the substrate from the side of two support balls under the spring clips so that the clipses secure the substrate while the substrate bottom is supported by the three support balls (see Fig. 10-7).
- and

ATTENTION! When working with a thick sample, you need to account for its height in the program. The default value of the sample is set to 0.5 mm (For more details how to set up the sample height see p. 3).



Fig. 10-7. Installing the sample on the scanner without sensors

For operation in modes requiring electrical connection between the sample and the instrument, substrates equipped with a spring contact are recommended. This spring contact is used for constant electrical bias of the sample (Fig. 10-8).



Fig. 10-8. Substrate with the spring contact

When you use special substrates an electrical connection between the sample and the device is provided by a spring contact, which is connected with a plug end to the corresponding slot located on the base unit. There are two jacks on the base (Fig. 10-9), the jack 1 to supply the desired bias voltage (**BV**), and the grounding jack 2.



ATTENTION! Do not use the BV slot for grounding as the BiasVoltage is applied both to the probe holder and to the BV.



Fig. 10-9 1 – bias voltage jack; 2 – grounding jack

Setting the sample height

The sample thickness influences the geometrical dimensions of the acquired image. With the same elongation of the scanner tube, a thicker sample will move to a larger distance from the probe in the XY plane (Fig. 10-10) thus increasing size of the image.

To account for this effect, the height of the sample above the scanner should be taken correctly.



Fig. 10-10. Increase of the image size with height of the sample

When defining the sample thickness, be careful not to miss thickness of the sample used for XY calibration of the scanner.

To define the sample height, perform the following steps:

- 1. Open the Scanner Calibration Setup dialog (Fig. 10-11) by selecting the Main menu command Settings → Calibrations → Change Calibrations.
- 2. In the **Sample Height** input field of any of the tabs, enter the sample height (Fig. 10-11).
 - If the substrate is of the same thickness as that of calibration, enter thickness of the sample itself.
 - If the substrate is thicker than that used for calibration, enter the sample thickness increased by the height difference of the substrates. For the sample stage (Fig. 10-2), the difference is 4.8 mm.

Scanner Calibrations Setup 🛛 🛛 🔀		
Open Loop Calibrations	Closed Loop Calibrations	
_ V	Max Range Estimation	
0,1210	50,8 μm	
Y) 50,8 μm	
Z	1. 4,1 μm	
Sample	Height (max 20 mm)	
Load S	ave OK Cancel	

Fig. 10-11. Scanner Calibration Setup dialog

3. Press **OK** to save the changes and close the window.

For another sample, value of the **Sample Height** field of the **Scanner Calibration Setup** dialog can change.

10.2. Installing the Side Illumination Module

If upside illumination of the sample is used, skip this section and go to instructions of i. 10.3 «Installing the AFM Probe holder» on p. 48.

To apply side illumination of the sample, install the side illumination module on the measuring head.

To install the side illumination module, perform the following steps:

- 1. Place the measuring head on a plane surface.
- 2. Unscrew four screws for fixing the side illumination module on the measuring head. These screws are at the front side of the lens holder (see Fig. 10-12).



Fig. 10-12. Measuring head. Arrows indicate screws for fixing the side illumination module

3. Secure the side illumination module with the four screws using a 3 mm Allen key (see Fig. 10-13).



Fig. 10-13. Side illumination module installed on the measuring head

4. Turn the measuring head upside down. Screw the focusing module into the hole inside the side illumination module (see Fig. 10-14).



Fig. 10-14. Focusing module installed on the measuring head

This completes installation of the side illumination module on the measuring head.

10.3. Installing the AFM Probe holder

Ti install the AFM probe holder, perform the following steps:

- 1. Place the measuring head with its bottom up on a plane surface.
- 2. Pull the clamp (Fig. 10-15).
- 3. Place the AFM probe holder so that support balls of the holder stand on sapphire seats on the base of the measuring head (Fig. 10-15). Screws of the measuring head must come to the corresponding slots with their caps.
- 4. Release the clamp.



Fig. 10-15. AFM probe holder installed. Arrow indicates handle of the clamp (here the measuring head is shown without the side illumination module)

5. Connect the AFM probe holder electrically to the measuring head (see Fig. 10-15).

This completes installation of the AFM probe holder on the measuring head.

10.4. Installing the AFM Probe

To install or to replace the AFM probe, perform the following steps:

- 1. Take the measuring head, turn it over, and place it on a plane surface.
- 2. Take the probe from the container with the tweezers (Fig. 10-16). Make sure that the working side of the chip with cantilevers is directed to you during the installation. Do not turn the chip over because probes in the container are packed with their tips pointing upwards.



Fig. 10-16. Container with probes

3. Press the handle to raise the pressing spring (see Fig. 10-17).



Fig. 10-17. Probe holder

4. Place the probe on the forepart of the holder and move it under the spring (see Fig. 10-18).



Fig. 10-18. Installing the probe

5. Correct position of the probe with the tweezers so that it seats firmly on the forepart. Release the spring.



NOTE. The probe chips of some models may be of trapezium shape. When installing such a probe, make sure that it is not inclined to the forepart of the holder.



Fig. 10-19. Probe installed into the holder

Now, the probe is installed into the holder.

10.5. Installing the Measuring Head

To install the measuring head, perform the following steps:

1. Rotate the manual approach knob clockwise until the scanner comes to its lowest position (pos. 1 on Fig. 10-20). This will secure the probe and the sample during installation of the measuring head.



Fig. 10-20 1 – manual approach; 2 – measuring head seats

2. Place the screw legs of the measuring head down to the seats 2 of the exchangeable mount so that the front legs (these are fixed with check-nuts) come into the seat with a hole and into the seat with a groove (see Fig. 10-21).



Fig. 10-21. Measuring head installed into the base unit (here the measuring head is equipped with the side illumination module)

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ATTENTION! Length of the measuring head legs is agjusted during the installation procedure. Do not re-adjust it as this will result in inclination of the lens and thus in misalignment of the optical detection system.

- 3. Tuck in the head cable into the cable holder. Connect the measuring head to the **HEAD** socket in the base unit of the instrument.
- 4. See from one side and rotate the manual approach knob anticlockwise to approach the sample to the probe to distance of ~ 2 mm.

This completes installation of the measuring head.

10.6. Installing the Optical Fiber Transport System

Hereafter, the spectrometer is assumed to be ready for operation that is, the main OTS is installed and adjusted (see sec. 8.1 «Preparing the Spectrometer» on p. 33). The main OTS links the laser with the spectral unit to deliver the laser radiation to the input port of the spectrometer.

For operation with the side illumination module, another OTS is required to link the laser with the optical measuring head. This addition is necessary to deliver the laser radiation to the sample with the side illumination module installed in the instrument.

This section explains the procedure on installing the second OTS.

If the instrument is not equipped with the side illumination module, skip this section and go to i. 10.7 «Launching the Control Program».

ATTENTION! Never look through the output aperture of the laser! Direct and scattered radiation is hazardous to eyesight.

To install and to align the optical fiber transport system, perform the following steps:

1. Press and hold the central backing-up screw of the radiation feedthrough of the laser source (Fig. 10-22). Pull the **Input** socket of the OTS to remove the socket from the radiation feedthrough. The **Input** socket was inserted into the assembly in the procedure on preparing the spectrometer for operation.



Fig. 10-22. Radiation feedthrough

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ATTENTION! The Output end of the OTS was secured inside the spectral unit when the spectrometer was prepared for operation. Do not remove the Output end from the spectral unit as that will result in misalignment of optical components.

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- 2. Take the **Input** socket of the second OTS with your right hand while, with your left hand, press and hold the central backing-up screw of the radiation feedthrough on the laser source. Insert the **Input** socket into the slot against the stop. Make sure that the polarization line marker of the socket comes into the cavity in the radiation feedthrough (see Fig. 10-22).
- 3. Insert the **Output** socket of the second OTS into the hole of the side illumination module against the stop (see Fig. 10-23).



Fig. 10-23. Output socket installed

4. Secure the **Output** socket by screwing two fixing screws with 1.5 mm Allen key (see Fig. 10-24). The key is supplied with the delivery set of the instrument.



Fig. 10-24. Securing the Output socket

This completes installation of the second optical fiber transportation system.

10.7. Launching the Control Program

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ATTENTION! Tighten up all connectors before turning the controllers on. Disconnection of connectors during operation may cause damage to the electronic components.

- 1. Switch on the lasers.
- 2. Switch the spectrometer on with the button on the power supply.
- 3. Switch the controllers on with the toggle switches on the front panel.
- 4. Switch on the computer.

ATTENTION! The controllers must be on before starting the control program.

- 5. Start the Nova program using one of the options below:
 - by clicking the program icon available in the computer desktop;
 - by opening the file **Nova.exe** available in the program folder.

Nano30PRE-starting (Fig. 10-25) dialog will open. It controls initialization of the spectrometer.



Fig. 10-25. Nano30 Pre-starting dialog

- 6. In the **Type of pre-starting procedure** group, select one of the following options:
 - Full for the initial start of the program in the beginning of the working day. With this option, positions of all movable components of the system are initiated
 - **Fast** for restart of the program during the working day. With this option, only selected components are initiated. Components recommended for re-initialization:
 - **Objective XYZ** (two fields corresponding to the lens in front of the monochromator and to the lens in front of the photomultiplier in the light detection system);
 - Pinhole (two fields).
- 7. Click the **Start** button to initialize the selected movable elements. Control program Main window will appear on the screen.

10.8. Adjusting the Spectral Unit

To adjust the spectral unit to the laser wavelength, perform the following steps:

- 1. Click the **CONTROL** button in the Instrument Parameters Adjustment panel to open the **Nano 30** dialog (see Fig. 10-26).
- 2. Switch to the **1**. Entrance tab by clicking the corresponding title (see Fig. 10-26).

😵 Nano 30		100 🗧
1.Entrance	2.Exitation	3.Reflection
4.Registration	Spectrometer	Microscope
Entrance1 - 488 in	m	X Closed
Entrance2 - 514 nr	n	🗙 Closed
Entrance3 - 633 nr	n	🗙 Closed
Reference ND filte	ſ	0.324
step; 0.0999999	998	\rightarrow
Reference PMT		0
0		1
Set All		

Fig. 10-26. 1. Entrance tab of the Nano 30 dialog

- 3. Close the shutters of all lasers. Fields Entrance 1, Entrance 2, and Entrance 3 must indicate X Closed.
- 4. Switch to the **2. Excitation** tab (see Fig. 10-27) by clicking the corresponding title.

🐉 Nano 30		MO 🗧
1.Entrance	2.Exitation	3.Reflection
4.Registration	Spectrometer	Microscope
ND filter		2
step: 1		• •
Beam Expander	633 nm, 6	imm 💌 🕨
Beam Splitter	633 nm	• •
Half Wave Plate	633 nm	•
Set All		

Fig. 10-27. 2. Excitation tab of the Nano 30 dialog

- 5. Define the following parameters in the **2. Excitation** tab:
 - In the ND-filter input field, enter attenuation N of the exciting laser power (intensity will be reduced by 10^N factor). With zero in this field, no attenuation will be applied while maximum attenuation is 3. The desired value can be adjusted with the buttons at step given in the Step field.
 - In the **Beam Expander** field, select the desired wavelength of the exciting laser to set position of the beam expander lens.
 - In the **Beam Splitter** and **Half Wave Plate** fields, select the desired filter turret position according to wavelength of the exciting laser.
- 6. Switch to the **1. Entrance** tab (Fig. 10-28) by clicking the corresponding title.

≽ Nano 30		MU 🗧
1.Entrance	2.Exitation	3.Reflection
4.Registration	Spectrometer	Microscope
Entrance1 - 488 in	m	X Closed
Entrance2 - 514 nr	n 🔤	X Closed
Entrance3 - 633 nr	m	🗸 Open
Reference ND filte	ar 🛛	0.324
step; 0.0999999	398	↓
Reference PMT		0
o 👎		1
Set All		

Fig. 10-28. Entrance tab of the Nano 30 dialog

- 7. In the tab 1. Entrance, select a laser for operation by clicking the corresponding button. This will change the button caption to open and open the corresponding laser shutter in the spectrometer thus supplying the optical system with exciting radiation.
- ATTENTION! The wavelength of the selected laser must fit the filter chosen in the Beam Splitter option in the 2.Excitation tab. Otherwise, the laser beam may come through the spectrometer slit and overload the CCD-matrix or the PMT thus damaging them.
- 8. Switch to the Spectrometer tab by clicking the corresponding title (see Fig. 10-29).

≽ Nano 30		100 🛢
1.Entrance	2.Exitation	3.Reflection
4.Registration	Spectrometer	Microscope
Shutter		🗸 Open
Exit Port	CCD	-
Exit Slit	0	💌 mkm 🕨
Grating	Grating 6	600 / 600 💌 🔸
Wave Length	m	••
Goto 👻		ResGo 🔻
Max WL 2312.0293 D, nm/mm 3.1661		
Set All		

Fig. 10-29. Spectrometer tab of the Nano 30 dialog

- a. In the **Exit Port** field, select position of the deflecting mirror at exit of the spectrometer (see pos. 7 in Fig. 3-1).
- b. In the **Exit Slit** field, define the exit slit size:
 - With CCD selected, the Exit Slit value must be zero.
 - With **PMT** selected, enter the desired slit size in the **Exit Port** field.
- c. Select the diffraction grating in the Grating drop-down list.
- d. In the input field of the **Wave Length** panel, enter position of the diffraction grating according to the required spectrum range and press the <Enter> key. Then click the **ResGo** or **GoTo** button to set the grating to the defined position. After clicking the **ResGo** button, the grating will first take zero position, and then will move to the position corresponding to the selected wavelength. (This option allows to detect the actual position of the grating, and it is recommended when the grating position was not changed for a long time). If the **GoTo** button is used, the grating will immediately move to the defined position.
- e. Click the Set All button to apply the settings.
- f. Click the button in the **Shutter** field to open the shutter at the spectrometer entrance. After opening, the button will change its appearance to **Open**.
- (a)

ATTENTION! The entrance shutter is automatically closed when the grating is replaced. So, you will have to open the shutter after every replacement of the grating to receive the signal.

10.9. Adjusting the Optical Cantilever Deflection Detection System

Adjusting the optical cantilever deflection detection system can be performed in one of two ways:

- by the spotlight from the illuminator;
- by laser beam coming from the spectrometer.

In both cases, the adjustment procedure is the same. So, only adjustment with the illuminator is described below.

- 1. Set the Optical viewing system (see Appendix 1 on p. 99) lens above the hole for radiation delivery to the measuring head.
- 2. Switch on the illuminator, CCD-camera, and the viewing system monitor if they are off.
- 3. Watch the probe and move it with the screws 1 and 2 (see Fig. 10-30) to the position where the light spot appears on the probe chip (pos. 1 in Fig. 10-31).





a)

b)

Fig. 10-30. Adjusting elements of the detection system 1, 2 – screws for X and Y positioning of the probe;3 – screw for fine focusing of the lens; 4, 5 – screws for positioning of the photodiode; 6, 7 – screws for X and Y movement of the lens (here the measuring head is shown with the side illumination module)



Fig. 10-31. Aiming the laser spot at the cantilever

- 2. Move the laser spot until it comes in front of the probe chip by displacing the probe with the screw 1 forward to the moment the spot appears on the sample (pos. 2 in Fig. 10-31).
- 3. Move the probe with the screw 2 along the laser spot until the spot comes to the cantilever (pos. 3 in Fig. 10-31). This results in displaying a distinct bright spot on the optical viewing system monitor.
- 4. Focus the objective on the end of the cantilever by turning the screw 3 (see Fig. 10-32). Monitor the focus on the image of the optical viewing system.



Fig. 10-32. Objective focused on the end of the cantilever

5. Turn the screw for fine focusing of the lens counterclockwise until the specific image of the tip apex (bright point) appears. This shifts the objective focus from the cantilever to the tip apex (see Fig. 10-33).



Fig. 10-33. Objective focused on the tip apex bright point displays the tip apex



NOTE. Focusing the objective on the tip apex is available only in configurations with special probes for confocal scanning (see Fig. 2-9).

3. Further adjustments are performed in the **Aiming** tab of the Control program (available through the Aiming button in the Main operations panel).

The **Aiming** tab (Fig. 10-34) contains a graphical panel to view the laser spot on the photodiode and a table of the current photodiode signal values.

The signals refer to:

- **DFL** the differential signal between the top and the bottom photodiode halves.
- **LF** the differential signal between the left and the right photodiode halves.
- **Laser** the total signal coming from all four sections of the photodiode. It is proportional to the laser radiation intensity, reflected from the cantilever.



Fig. 10-34. Aiming tab

6. Adjust the **Laser** signal (Fig. 10-35) in the **Aiming** tab to its maximum by moving the probe with the screws 1 and 2. The **Laser** signal should be in the range 5÷30 nA.



Fig. 10-35. Laser signal achieved its maximum

 Adjust the laser spot at the center of the photodiode (Fig. 10-36) by moving the photodiode with the screws 4 and 5. For higher precision, find a position where the signals DFL and LF are close to zero, with the total signal Laser being sufficiently high.



Fig. 10-36. Laser spot adjusted at the center of the photodiode

This completes preparation of the instrument for measurements with the AFM probe.

11. Preparing for STM Measurements

Preparation for STM measurements includes the following operations:

- 1. Preparing and Installing the Sample (i. 11.1 on p. 63).
- 2. Installing the Side Illumination Module (i. 11.2 on p. 65).
- 3. Installing the STM Tip holder (i. 11.3 on p. 65).
- 4. Manufacturing the STM Tip (i. 11.4 on p. 66).
- 5. Installing the STM Tip (i. 11.5 on p. 67).
- 6. Installing the Measuring Head (i. 11.6 on p. 68).
- 7. Installing the Optical Fiber Transport System (i. 11.7 on p. 68).
- 8. Launching the Control Program (i. 11.8 on p. 69).
- 9. Spectral Unit Adjustment (i. 11.9 on p. 69).

These operations will be explained in details below.

11.1. Preparing and Installing the Sample

To fix samples during the STM investigations, special substrates with an electric contact to apply bias voltage to the sample are available (see Fig. 11-1).



Fig. 11-1. Substrate with a spring contact

To prepare and install the sample, perform the following steps:

- 1. Prepare a clean substrate. Cut off a strip of a double-sided adhesive tape, slightly wider than the sample.
- 2. Stick the adhesive tape to the substrate, smooth its surface out with the back of the tweezers to remove air bubbles between the substrate and the adhesive tape.
- 3. Put the sample on the adhesive tape and carefully press it with tweezers in several places (Fig. 11-2). Do not touch the area intended for the investigation.



NOTE. After the sample is fixed on the substrate, a noticeable vertical drift of the sample can occur within one hour (due to the slow relaxation of sticky tape). This drift should be taken into account. If the task requires the minimum drift, prepare the sample beforehand (at least, an hour before the investigation).



Fig. 11-2. Prepared substrate with a sample installed

4. Turn the contact spring with the tweezers to apply the tunneling voltage to the sample and fix it somewhere close to the boundary of the sample. The central part of the sample should be freely accessible (Fig. 11-2).



- 5. Install the substrate with the sample on the sample stage, sliding it in sideways under the fixing clips. The spring contact should be seen during insertion (Fig. 11-3). Make sure that the spring contact does not touch any metal parts of the tip holder.
- 6. Insert the voltage terminal into **BV** connector on the base unit (see Fig. 11-3).



Fig. 11-3. Installing a sample
11.2. Installing the Side Illumination Module

Confocal microscopy with the STM probe can be performed either with or without use of the side illumination module.

If the side illumination module will not be used, skip this section and go to i. 11.3 «Installing the STM Tip holder» on p. 65.

Otherwise, install the module on the measuring head. For detail on installation, refer to i. 10.2 «Installing the Side Illumination Module» on p. 47.

11.3. Installing the STM Tip holder

To install the STM tip holder, perform the following steps:

- 1. Place the measuring head with its bottom up on a plane surface.
- 2. Pull the clamp (see Fig. 11-4).



Fig. 11-4. Measuring head. Arrow indicates handle of the clamp (here the measuring head is shown without the side illumination module)

- 3. Place the STM tip holder so that support balls of the holder stand on sapphire seats on the base of the measuring head (Fig. 11-5). Screws of the measuring head must come to the corresponding slots with their caps.
- 4. Release the clamp (see Fig. 11-5).



Fig. 11-5. STM tip holder installed

This completes installation of the STM tip holder on the measuring head.

11.4. Manufacturing the STM Tip

The tip is the sharpened end of a platinum-iridium (PtIr), or platinum-rhodium (PtRo) (with platinum content of about 80 %), or tungsten (W) wire, $8\div10$ mm long with a diameter of $0.25\div0.5$ mm.

The sharpness of the tip can be evaluated by imaging a reference sample with known surface characteristics, for example Highly Oriented Pyrolytic Graphite (HOPG).

There are two techniques of manufacturing an STM tip:

- 1. By cutting the wire apex with scissors (PtIr, PtRo) (see below);
- 2. By electrochemical etching (W, Pt, PtIr, PtRo).

The simplest technique of STM tip manufacturing is cutting the wire apex with the scissors. It provides the apex radius of curvature less 10 nm.

Sharp-edged scissors and tweezers with kinks on the interior surface (available in the toolkit supplied with the microscope) are used to cut the wire.



ATTENTION! Do not use the wire cutting scissors for other purposes.



Fig. 11-6

To prepare the apex, perform the following steps:

- 1. Clamp the wire with the tweezers so that it projects beyond its edge for 2÷3 mm (Fig. 11-6).
- 2. Cut the wire at an angle of 10÷15 degrees as close to its apex as possible and simultaneously pull the scissors along the wire axis to separate the part being cut off. This is done to avoid the contact between the cutting edges of the scissors and the tip apex. This procedure implies rather a tearing off the wire in the last moment than truncating it. This provides a sharp apex, formed at the wire's end (Fig. 11-7).



Fig. 11-7. Typical shape of a wire cutoff (apex of the tip)

3. Check the cutoff shape using the optical microscope (magnification 200x is recommended). Repeat the cutting process, if necessary.

ATTENTION! Avoid any contact with the tip apex in order not to damage it.

The overall length of the tip should not exceed 10 mm.

After cutting the wire, annealing the apex in the flame of an alcohol lamp for $1\div 2$ seconds is recommended to remove organic substances. Check the apex of the tip using the optical microscope. The cutoff section should be bright, with no traces of black or dust.

11.5. Installing the STM Tip

To install or to replace the STM probe, perform the following steps:

- 1. Turn the measuring head over and place it on a plane surface.
- 2. Take the wide tweezers in one hand. By the other hand, take the tip with the narrow tweezers.

ATTENTION! Avoid contacting a sharpened apex with any surfaces during installation.

3. Squeeze the clamping spring using the wide tweezers

(ad)

4. Insert the probe into slots of the holder with the blunt end down. Protrusion of the sharpened end of the probe outside the holder should not exceed 3÷4 mm (see Fig. 11-8).



Fig. 11-8. Tip installed into the holder

- 5. Release the spring. The tip should be fixed firmly in the holder.
- NOTE. The quality of the tip sharpness and firmness of the tip fixation in the clamp are the primary factors that determine the quality of results obtained with STM.

11.6. Installing the Measuring Head

For details on installation of the measuring head on the base unit refer to i. 10.5 «Installing the Measuring Head » on p. 51.

The socket of the measuring head is free for STM measurements because the STM probe holder is connected to the **HEAD** socket of the base unit.

11.7. Installing the Optical Fiber Transport System

Hereafter, the spectrometer is assumed to be ready for operation that is, the main OTS is installed and adjusted (see sec. 8.1 «Preparing the Spectrometer» on p. 33). The main OTS links the laser with the spectral unit to deliver the laser radiation to the input port of the spectrometer.

For operation with the side illumination module, another OTS is required to link the laser with the optical measuring head. This addition is necessary to deliver the laser radiation to

the sample with the side illumination module installed in the instrument. For details on installation of the second OTS, refer to i. 10.6 «Installing the Optical Fiber Transport System» on p. 52.

This section explains the procedure on installing OTS for operation without the side illumination module.

ad

ATTENTION! Never look through the output aperture of the laser! Direct and scattered radiation is hazardous to eyesight.

To install and align the optical fiber transport system, do the following:

- 1. Take the **Input** socket of the OTS. The **Output** socket of the OTS is secured inside the spectral unit.
- 2. Press and hold the central backing-up screw of the radiation feedthrough of the laser source Insert the **Input** socket into the slot against the stop. Make sure that the polarization line marker of the socket comes into the cavity in the radiation feedthrough (see Fig. 10-8).



Fig. 11-9. Radiation feedthrough

This completes installation of the second optical fiber transportation system.

11.8. Launching the Control Program

For details on launching the Control program, refer to i. 10.7 «Launching the Control Program» on p. 54.

11.9. Spectral Unit Adjustment

For details on adjusting the spectral unit, refer to i. 10.8 «Adjusting the Spectral Unit » on p. 55.

12. Performing Measurements

ad

ATTENTION! Before any measurements, make sure that switches of the block diagram are set properly for the selected configuration of the instrument.

Fig. 12-1 shows switches layout for measurements in contact mode with the **Spreading Resistance** technique.

Notice that in this case the lower switch position corresponds to grounding the sample though the sample is connected electrically to the **BV** socket and, so, it is applied to bias voltage. The **lpr** button should be pressed in.



Fig. 12-1. Switches layout for measurements with the **Spreading Resistance** technique

For semi-contact modes (EFM, Kelvin Probe, or Capacitance Contrast technique), the switches layout of the block-diagram should correspond to that shown in Fig. 12-2.

Notice that in this case the **lpr** button is now released and the lower switch connects directly to the ground.



Fig. 12-2. Switches layout for measurements in a semicontact mode (EFM, Kelvin Probe, or Capacitance Contrast)

Electric lithography in the contact mode with the Kelvin probe technique needs the switches layout shown in Fig. 12-3.

Notice that in this case the lower switch position corresponds to grounding the sample though the sample is connected electrically to the **BV** socket and, so, it is applied to bias voltage. The **lpr** button should be released.



Fig. 12-3. Switches layout for electrical lithography

AFM measurements

For details on performing measurements in contact and semi-contact AFM modes, refer to the manual *PNL NTEGRA*. *Performing Measurements*, Appendix.

STM measurements

For details on performing STM measurements, refer to the manual *PNL NTEGRA*. *Performing Measurements*, Appendix.

Measuring with the Confocal Microscopy Mode

Confocal microscopy can be performed both with AFM and STM probes. Preparatory procedures for confocal microscopy depend on the type of the probe used.

Before measuring with the AFM probe prepare for operation according to instructions of i. 10 «Preparing for AFM Measurements» on p. 41.

Before measuring with the STM tip prepare for operation according to instructions of i. 11 «Preparing for STM Measurements» on p. 63.

For performing measurements with confocal microscopy, the user should be aware of principles of contact and semi-contact AFM modes as well as of STM modes.

The confocal scanning includes the following main operations:

- 1. Approaching the Sample and Focusing the Laser Beam :
 - case of the AFM probe (i. 12.1.1 on p. 73);
 - case of the STM tip (i. 12.1.2 on p. 75);
 - case of operation without probe sensor (i. 12.1.3 on p. 78).
- 2. Adjusting Channels of Exciting and Detection (i. 12.2 on p. 79).
- 3. Adjusting Scan Parameters (i. 12.3 on p. 89).
- 4. Scanning (i. 12.4 on p. 91).
- 5. Saving Data (i. 12.5 on p. 96).
- 6. Finishing the Work (i. 12.6 on p. 97).

These operations will be explained in details below.

12.1. Approaching the Sample and Focusing the Laser Beam

Confocal microscopy requires that the sample is located in the lens focal plane. Depending on the measuring technique, the probe is located in one of the following ways:

- close to the sample surface;
- far from the sample surface or even absent in the measurement layout.

The latter means that we have three preparatory procedures:

- Approaching and Focusing for Modes with the AFM Probe (i. 12.1.1 on p. 73);
- Approaching and Focusing for Modes with the STM tip (i. 12.1.2 on p. 75);
- Approaching and Focusing for Modes without the Probe (i. 12.1.3 on p. 78).

12.1.1. Approaching and Focusing for Modes with the AFM Probe

Approaching the sample to the probe

This procedure serves to approach the sample to the probe. It depends on the selected mode (contact, semi-contact). For detailed description, refer to the manual *Performing Measurements*, Part 3. Here, only procedural sequences are presented.

Contact AFM:

- Selecting the controller configuration;
- Setting initial level of the **DFL** signal;
- Approaching the sample to the probe;
- Adjusting the working level of the feedback amplification ratio.

Semi-contact AFM:

- Selecting the controller configuration;
- Adjusting the piezodriver working frequency;
- Setting initial level of the Mag signal;
- Approaching the sample to the probe;
- Adjusting the working level of the feedback amplification ratio.

Fine focusing

With proper adjustment of the optical detection system, the sample surface should be at the lens focus. If the focus appears shifted from the surface, fine focusing is required.

To obtain fine focusing, perform the following steps:

- 1. In the Auxiliary Operations Area (accessible through the 🖽 button at the right top of the Program window), select the **Scheme** tab to open the corresponding window.
- 2. Click the secondary mouse button on the button **T** ON of the scheme window. In the popped-up list (Fig. 12-4), select **Save** to lock the position of the Z-section of the scanner.



Fig. 12-4. Selecting the Save item

NOTE. Be aware that leaving the probe in the Save state for long time is risky because the probe can be damaged due to the scanner drift.





a)

Fig. 12-5. Measuring head installed (here the measuring head is shown with the side illumination module) 1, 2 – screws for X and Y positioning of the probe;3 – screw for fine focusing of the lens; 4, 5 – screws for positioning of the photodiode; 6, 7 – screws for X and Y movement of the lens 8 – manual approach knob; 9 – screw for fine focusing of the laser; 10,11 – screws for X and Y movement of the laser beam

b)

3. Focus the lens on the sample surface by rotating the screw 3 (Fig. 12-5).

Adjusting the Photodiode Position

To adjust the photodiode position with the fine focus, perform the following steps:

- 1. Press **T** FB button to open the feedback circuit (when the circuit is opened, the **t** FB button is released).
- 2. Switch to the **Aiming** tab by pressing the Aiming button in the Main Operations Panel.
- 3. Rotate the photodiode positioning screws 4, 5 (Fig. 12-5) to move the laser spot in the position indicator to the center of the photodiode.



Fig. 12-6. Laser spot adjusted at the center of the photodiode

4. Close the feedback circuit by pressing the **FB** button.

12.1.2. Approaching and Focusing for Modes with the STM tip

Approaching the sample to the probe

Before approaching the sample to the probe, make sure that the probe is in the viewing field of the optical viewing system. If necessary, move the probe under the lens of the optical viewing system (Fig. 12-7) with the screws 1 and 2 (Fig. 12-5). Watch movement of the probe either immediately or on the screen of the optical viewing system.



Fig. 12-7. Probe in the viewing field of the optical viewing system

Now, approach the sample to the probe. It depends on the selected mode (contact, semicontact). For detailed description, refer to the manual *Performing Measurements*, Part 3. Here, only the procedural sequence is presented.

- 1. Selecting the controller configuration;
- 2. Approaching the sample to the probe;
- 3. Adjusting the working level of the feedback amplification ratio.

As the approach completes, the sample surface appears out of the lens focus (see Fig. 12-8).



Fig. 12-8. Sample surface is out of the lens focus

Focus the lens on the sample surface by rotating the screw 1 (see Fig. 12-9).



Fig. 12-9. Sample surface is in the objective focus

Focusing the lens on the tip apex

- 1. Switch off the illuminator that is located on the positioning holder of the optical viewing system (see Appendix 1 on p. 99).
- 2. Open the feedback loop by clicking the **T** FB button in the Main Parameters panel (this button is released when the feedback loop is open).
- 3. Watch the probe from a side and on the screen of the optical viewing system and aim the laser beam at the tip apex (Fig. 12-10). Move the laser beam by rotating the screws 10 and 11 (see (Fig. 12-5).



Fig. 12-10. Laser beam aimed at the tip apex

4. Rotate the screw 9 (Fig. 12-5) to focus the laser beam on the tip apex (Fig. 12-11).



Fig. 12-11. Laser beam focused on the tip apex

5. Switch on the illuminator. This will produce the screen image that shows the sample surface with the laser spot focused on the tip apex (see Fig. 12-12).



Fig. 12-12. Both sample surface and laser beam in the objective focus

12.1.3. Approaching and Focusing for Modes without the Probe

For operation without the probe, it is either removed from the instrument or retracted far away from the sample. In both cases, approaching should provide focusing the sample in the lens focal plane.

If the probe remains installed, the lens is preliminarily moved away from the probe so that the probe locates at a secure distance from the sample surface, that is, $1\div 2$ mm above the lens focal plane.

If the probe is removed, go to i. 4 of the procedure below.

To approach and to focus without the probe, perform the following steps:

1. Rotate the screw 3 for fine focusing of the lens (Fig. 12-5) to focus the lens on the cantilever.

- 2. Rotate the screw 3 for fine focusing counterclockwise until the typical image of the cantilever tip (bright point) appears. The cantilever will be out of the focus, but the lens will be focused on the probe tip (see Fig. 2-9). With the STM probe, the probe will be out of the focus while the objective will be focused on the tip apex.
- 3. Do $3\div4$ revolutions of the screw 3 to move the lens down. This will place the probe to the safe distance ($1\div2$ mm above the lens focal plane).

D

NOTE. To remove the cantilever from the lens viewing field, use the screws 1, 2 (Fig. 12-5).

- 4. Use the spectrometer to attenuate the laser beam to its minimum (see the manual on the spectrometer).
- 5. Approach the sample to the lens slowly and very carefully by rotating the manual approach knob and observing the sample on the display of the viewing system. Control the lens focus by contrast of the sample image or by focusing the laser beam on the sample (if the spectrometer laser is on).



ATTENTION! Be careful when approaching the sample. If the sample-probe distance is less than the one, the probe can contact the sample surface thus being damaged.

12.2. Adjusting Channels of Exciting and Detection

The spectrometer is assumed to be enabled and adjusted according to i. 8.1 on p. 33.

For recording the signal from the sample surface, the following detectors are employed:

- PMT module for measuring optical characteristics of the sample surface;
- APD module for detecting a signal at a predefined wavelength;
- CCD-camera for detecting signals in the desired spectral ranges, for analyzing the acquired data and/or for recording the complete spectrum at every scan point (socalled acquisition of the complete spectrum map).

A specific procedure on adjusting channels of exciting and detection depends on the module used to detect the signal. It is performed with one of the following procedures:

- 1. Adjusting Detection Parameters of the CCD-camera (i. 12.2.1 on p. 80);
- 2. Adjusting Detection Parameters of the PMT Module (i. 12.2.2 on p. 84);
- 3. Adjusting Detection Parameters of the 77HAPD Module (i. 12.2.3 on p. 87).

These operations will be explained in details below.

12.2.1. Adjusting Detection Parameters of the CCD-camera

- 1. Switch to the **Spectra** tab by clicking the **Spectra** button in the Main Operations Panel.
- 2. Go to the Andor CCD tab by clicking the corresponding title in the left top of the **Spectra** tab.
- 3. Define cooling temperature of the CCD-matrix (in the range of -40÷-50°C) in the **Set T(°C)** input field available in the Control panel of the **Andor CCD** tab.
- 4. Start cooling the CCD-matrix by pressing the **Cooler off** button placed to the right of the input field. The cooling process will begin and the text caption of the pressed-in button will indicate the current temperature of the matrix.
- 5. Open the **Nano 30** dialog with the **SPECTROMETER** button in the Instrument Parameters Adjustment panel.
 - a. Go to the **4**. Registration tab (Fig. 12-13) by clicking the corresponding title.

≽ Nano 30		W0 🗧
1.Entrance	2.Exitation	3.Reflection
4.Registration	Spectrometer	Microscope
Bandpass Filter	pos1	•
Polarizer pos2	•	·
step: 100		• •
Objective XYZ		•
Pinhole	100	💌 mkm
	Set All	

Fig. 12-13. Registration tab of the Nano 30 dialog

- b. Enter 100 µm in the **Pinhole** input field.
- c. Click the Set All button to activate and to save the modifications made.
- 6. Adjust detection parameters in the Control Program Main window through the following steps:
 - a. Enter the exposure time in the range of $0.2 \div 1$ s in the **Exposure time** input field.
 - b. Define the horizontal speed of spectrum recording by selecting the appropriate item in the **H** list of the **Shift Speed** field (Fig. 12-14):
 - 1 corresponds to the maximum scanning speed;
 - 32 corresponds to the minimum scanning speed that provides better signal/noise ratio.

Use Andor CCD for scanning						
Save As Backg	Save As Background Subtract Background					
Full Spectrum Recording OPTIONS						
Shift Speed V:	8,25	- H: 0),1 (A-D I	√²O) 💌		
Laser: 473,000	Save	Central Pi	×: 518	Save		
Spectro Clbr	Manu	al Cibr	Save	Clbr		

Fig. 12-14. Instrument Parameters Adjustment panel

- c. To acquire a spectrum from the whole CCD-matrix, click the **V**. **bin** button.
- d. Collect the spectrum by clicking the Single Scan button (Fig. 12-15).



Fig. 12-15. Spectrum collected from the whole CCD-matrix

NOTE. To activate the option of scan-time updating the spectrum image, click the Live Mode button.



NOTE. A spectrum to be saved should be appended to the current data file as a separate frame using the Toolbar button.

- 7. Adjust positioning of the entrance lens:
 - a. Go to the **4. Registration** tab of the **Nano 30** window by clicking the corresponding title.
 - b. Click the **b** button in the **Objective XYZ** field. This opens an additional window (Fig. 12-16).

D

🖗 Nano 30		M 2	
1.Entrance	2.Exitation	3.Reflection	
4.Registration	Spectrometer	Microscope]
Bandpass Filter	pos1	•	
Polarizer pos2		0	
step: 100		• •	
Objective XYZ		4	Reg.Ob/XYZ-X drive -950
Pinhole	100	<u>→</u> mkm	step: 10 • •
	Set All		Min = -3700 Max = 1800
		0) 6) 7)	Reg.ObjXYZ-Y drive -270
			step: 10
			Min = -3200 Max = 2200
			Reg.ObXYZ-Z drive 700
			step: 100
			Min = -1000 Max = 3700

Fig. 12-16. **Registration** tab with the **Objective XYZ** window opened

- c. In the **step** input fields of the groups **Reg.ObjXYZ-X drive** and **Reg.ObjXYZ-Y drive**, enter the value four times less **Pinhole**.
- d. Adjust the signal to its maximum by moving the entrance objective (pos. 10 on Fig. 3-1) over the X and Y directions with the buttons in the groups Reg.ObjXYZ-X drive and Reg.ObjXYZ-Y drive.

If the spectrum is still unavailable, position of the entrance lens (pos. 10 on Fig. 3-1) should be tuned.

1. In the **Pinhole** input field of the **4**. Registration tab, enter the value of $1000 \,\mu\text{m}$ that corresponds to complete opening of the monochromator slit.



ATTENTION! Observe maximum intensity of the signal received by the CCD camera. If the signal exceeds 40 000, reduce level of Exposure time.

2. Narrow the slit opening gradually entering decreasing values in the **Pinhole** field (*e.g.*, using the sequence $1000 \rightarrow 500 \rightarrow 300 \rightarrow 100$) and observe the signal from the sample on the CCD-matrix. If the signal drops significantly in the course of narrowing the slit opening, move the entrance lens (pos. 10 on Fig. 3-1) in the X and Y directions

with the **___** buttons in the groups **Reg.ObjXYZ-X drive** and **Reg.ObjXYZ-Y drive** (Fig. 12-16) to obtain reversal of the signal to the level already achieved.

NOTE. When varying the Pinhole value, the step fields of the groups Reg.ObjXYZ-X drive and Reg.ObjXYZ-Y drive are recommended to change so that their values take 20÷25 % of the current Pinhole value.

With the lens positioned properly, ratio of the signals acquired from the sample at slit openings of 100 and of 300 μ m should not exceed 1.5.

To improve the signal/noise ratio at low levels of the signal, the spectrum is collected only from a limited region of the CCD-matrix that receives the major portion of the radiation:

- 1. To receive the image from the CCD-matrix, press the **Image** button.
- 2. Acquire the scan by clicking the Single Scan button. The acquired image will display where the signal is concentrated (Fig. 12-17).



Fig. 12-17. Image collected with the CCD-matrix

- 3. Using the collected image, select a band of the CCD-matrix that receives the spectral signal from the sample. This band will bound an area of the CCD-matrix in measuring the desired signal. To define the band, perform the following steps:
 - a. Click the i button in the Control panel of the Andor CCD tab.
 - b. Place the mouse pointer in the line of the image where the signal is maximum and click the primary mouse button. This results in highlighting the selected line (Fig. 12-18) and in displaying its number in the **Center** input field in the Control panel of the **Andor CCD** tab.
 - c. In the **Width** input field on the Control panel of the **Andor CCD** tab, define width of the desired band so that it covers the major portion of the image (Fig. 12-19).



Fig. 12-18. Central line defined



- 4. To acquire a spectrum with the defined band of the CCD-matrix, press the **Track** button.
- 5. Start collecting the spectrum by clicking the Single Scan button. The spectrum (Fig. 12-20) collected over the limited band of the CCD-matrix is of better signal/noise ratio than the spectrum collected over the whole CCD-matrix.



Fig. 12-20. Spectrum collected over a limited band of the CCD-matrix

12.2.2. Adjusting Detection Parameters of the PMT Module

- 1. Switch to the **Spectra** tab by clicking the **Spectra** button in the Main Operations Panel.
- 2. Go to the Andor CCD tab by clicking the corresponding title in the left top of the **Spectra** tab.
- 3. Open the **Nano 30** dialog box with the **SPECTROMETER** button in the Instrument Parameters Adjustment panel.
 - a. Go to the **3. Reflection** tab (Fig. 12-21) by clicking the corresponding title.

😵 Nano 30		M 🗧
1.Entrance	2.Exitation	3.Reflection
4.Registration	Spectrometer	Microscope
Objective XYZ		•
ND filter	3.	.000
step: 1		• •
РМТ	0	
0		1
Pinhole	0	💌 mkm 🔸
	Set All	

Fig. 12-21. Reflection tab of the Nano 30 dialog

- b. Enter 100 µm in the **Pinhole** input field.
- c. Click the Set All button to activate and to save the modifications made.
- 4. Click the 🖽 button at the right top of the Program Main window to open the Auxiliary Operations Area.
 - a. Choose **Ext2** in the **Signal** section of the oscilloscope at the bottom of the Auxiliary Area (Fig. 12-22).

	l 🗅 🎒 🖣	\$€	🕮 🜔	$I \square \land$	<u>1 (</u>		
+ -	Signal	Points	Period (s)	PauseCo	lor 🚺	Aver	RMS
1	Ext2	1000	9,00	200	Ш	0	0
10							
8							
				1.1			

Fig. 12-22. Ext2 signal selected in the oscilloscope

- b. Activate the oscilloscope with the **II** button.
- 5. Choose the **PMT1** signal in the drop-down list of the Main Parameters panel (Fig. 12-23).

DFL	Off	Set Point 2,001	\$ PMT 1	- 500	V 💥 Laser 🛛 Tube 👻
\$ Litho	📩 Spec	tra	PMT 1 PMT 2	- b a	

Fig. 12-23. Selecting the PMT1 signal

6. Double-click in the field to the right from the list (Fig. 12-24) to open a slider that adjusts voltage applied to the PMT. Move the slider and observe the Ext2 signal in the oscilloscope. The working level of the Ext2 signal is in the range 0.1÷1 V (level of 10 V corresponds to saturation of the PMT). Click anywhere inside of the Program window to activate the desired voltage.



Fig. 12-24. Adjusting voltage applied to the PMT



NOTE. **PMT1** voltage higher 1000 V is not recommended.

- 7. Adjust positioning of the entrance lens:
 - a. Go to the **3**. **Reflection**, tab of the **Nano 30** window by clicking the corresponding title.
 - b. Click the **b** button in the **Objective XYZ** field. This opens an additional window (Fig. 12-25).

≽ Nano 30		800 E	
1.Entrance	2.Exitation	3.Reflection	
4.Registration	Spectrometer	Microscope	1
Objective XYZ		4	Reflected.ObiX drive -575
ND filter	3	.000	step: 20
step: 1		4 >	Min = -3300 Max = 2500
PMT	0		Reflected.Obj Y drive -360
n .		1	step: 20 4 >
<u>~ { } } ; </u>			Min = -3600 Max = 2100
Pinhole	lo	▼ mkm ▶	Reflected.0bjZ drive -950
	Set All		step: 50
			Min = -1000 Max = 3700

Fig. 12-25. **Reflection** tab with the **Objective XYZ** window opened

- c. In the **step** input fields of the groups **Reg.ObjXYZ-X drive** and **Reg.ObjXYZ-Y drive**, enter the value four times less **Pinhole**.
- d. Narrow the slit opening gradually entering decreasing values in the Pinhole field (e.g., using the sequence $1000 \rightarrow 500 \rightarrow 300 \rightarrow 100$) and observe the signal from the sample on the CCD-matrix. If the signal drops significantly in the course of narrowing the slit opening, move the entrance lens (pos. 10 on Fig. 3-1) in the X

and Y directions with the buttons in the groups Reflected.Obj X drive and Reflected.Obj Y drive to obtain reversal of the signal to the level already achieved. With the lens positioned properly, ratio of the signals acquired from the sample at slit openings of 100 and of 300 m should not exceed 1.5.

NOTE. When varying the **Pinhole** value the step fields of the groups **Reflected**. **ObjXYZ-X drive** and **Reflected**. **ObjXYZ-Y drive** are recommended to change so that their values take 20÷25 % of the current **Pinhole** value.

12.2.3. Adjusting Detection Parameters of the APD Module

Adjusting the APD module needs the signal spectrum to be collected (the procedure on collecting the spectrum is explained in sec. 12.2.1 on p. 80). To adjust the APD module, perform the following steps:

- 1. Go to the **Photon Counter** tab by clicking the corresponding title in the left top of the **Spectra** tab.
- 2. Adjust the following parameters in the Control panel of the **Photon Counter** tab (Fig. 12-26):
 - a. In the input fields **WL Min** and **WL Max**, define the wavelength range to cover the peak of the collected spectrum.

Z				
Andor CCD Scan (Mast	er) Scan (Slave) Photon	Counter		
🕨 Run 🛛 WL Min: 50	0,000 WL Max: 530,000	Point Count: 256	Integration Time: 1,00000	ms
Graphs : Spe	:ctri 🔄 🔹 🕀 🗨	. 🖷 🕮 💹 🗔	յՎԻրել՝՝՝՝՝՝՝՝՝՝՝՝՝՝՝՝՝՝՝՝՝՝՝՝՝՝՝՝՝՝՝՝՝՝՝՝	Č

Fig. 12-26. Control panel of the Photon Counter tab

- b. In the **Point Count** input field, define the total of points to perform measurement.
- c. In the **Integration Time** input field, define duration of collecting the signal at every point.
- 3. Open the **Nano 30** dialog box with the **SPECTROMETER** button in the Instrument Parameters Adjustment panel.
 - a. Go to the **Spectrometer** tab (Fig. 12-27) by clicking the corresponding title.

😵 Nano 30		100 🛢
1.Entrance	2.Exitation	3.Reflection
4.Registration	Spectrometer	Microscope
Shutter		🗙 Closed
Exit Port	PMT	•
Exit Slit	0	💌 mkm 🕨
Grating	Grating 6	00 / 600 💌 🔸
Wave Length	m	••
Goto -		ResGo 👻
Max WL 2912.0	J293 D, nm/mm	3.1661
	Set All	

Fig. 12-27. Spectrometer tab of the Nano 30 dialog

- b. Enter $50\div100 \ \mu m$ in the **Exit Slit** input field to define opening of the exit slit.
- c. Click the <u>Set All</u> button to activate and to save the modifications made.
- d. Open the shutter on exit of the spectrometer by clicking the **X** Closed button in the **Shutter** group. The button changes its appearance to **V** Open. The photons number field in the Control panel of the **Photon Counter** tab (Fig. 12-28) displays a nonzero number.

all Time = 256,000 ms	PMS		1209	Settings
		WL max :	514,997 nm WL min	: 514,997 nm

Fig. 12-28. Photons number field

- 4. Launch the measurement procedure with the **Pun** button in the Control panel of the **Photon Counter** tab (see Fig. 12-26). The viewer of the signal distribution starts drawing the plot of signal against the wavelength. On completion of measurements, the viewer displays the plot of the signal distribution over the defined range.
- 5. Find the wavelength of the signal maximum in the plot. Activate the marker with the button (see Fig. 12-26). Drag it with the mouse to the maximum of the plot. The marker will display the abscissa (wavelength) and the ordinate (signal) at the marked point.
- 6. Go to the **Spectrometer** tab of the **Nano 30** dialog (see Fig. 12-27) by clicking the corresponding title.

- a. Enter position of the diffraction grating according to the desired spectral range in the input field of the **Wave Length** panel (see Fig. 12-27).
- b. Click the **ResGo** button or the **GoTo** button to move the diffraction grating at the desired position. With clicking the **ResGo** button, the grating first moves to its zero position and then proceeds to the position corresponding to the selected wavelength. (This sequence provides more precise positioning of the grating. It is recommended if the grating was not repositioned for a long time). With clicking the **GoTo** button, the grating immediately moves to the position corresponding to the selected wavelength.

12.3. Adjusting Scan Parameters

Scanning can be performed by the sample (with the scanner of the exchangeable mount) or by the laser (with the steering mirror of the Laser Radiation delivery and recording system) In both modes, scanning starts with the **Pun** button in a corresponding tab. For scanning-by-sample, it is the **Scan(Master)** tab while for scanning-by-laser it is the **Scan(Slave)**. Operations in both modes are similar. They are executed in the corresponding tab that will be for brevity referred to as **Scan** below.

To adjust the scan parameters, perform the following steps:

1. Go to the **Scan** tab by clicking the corresponding title at the left top of the **Spectra** tab (Fig. 12-29).

z	
Andor CCD	Scan (Master) Scan (Slave) Photon Counter
🕨 Run	3D Fast: X Slow: Y ▼ Point Number ▼ 256 × 256
Live Mode	Single Scan Pixels 🔄 🖬 🖉 📾 🎒 🛟 🕀 🗨 🕎 🚺

Fig. 12-29

Select between 2D and 3D scanning with the 3D button. State "released" of the button corresponds to 2D scanning while state "pressed-in" means 3D scan (Fig. 12-30).

Run 3D Fast: X Slow: Y F Live Mode Single S Fast: X Slow: Y Live Mode Fast: X Slow: Z F Fast: X Slow: Z F	3D Fast: X Mid: Y Slow: Z ▼ F Fast: X Mid: Y Slow: Z ▼ F Single S Fast: Y Mid: X Slow: Z ↓ A

a) 2D scanning

b) 3D scanning

Fig. 12-30. Selecting dimensionality and direction of scanning

3. Select direction of scanning in the drop-down list (Fig. 12-30).

- 4. Define numbers of scan points at every direction:
 - for 3D scanning enter numbers of points in the input fields for every direction (Fig. 12-31).
 - for 2D scanning enter numbers of points in the input fields for selected directions.
 The input field for the third direction will be inaccessible.



Fig. 12-31. Defining numbers of scan points for every direction

5. Select the scan area.

for 2D scanning:

- a. Enable the function of selecting the scan area by pressing the **E** button (Fig. 12-32) in the Toolbar.
- b. Change size and position of the scan area with the mouse.



Fig. 12-32. Scan area in XY plane

for 3D scanning:

- a. Enable the function of selecting the scan area in the XY plane by pressing the button (Fig. 12-33) in the Toolbar.
- b. Change size and position of the scan area in the XY plane with the mouse.
- c. Define the upper and lower limits of scanning in the Z-direction by moving with the mouse the sliders \checkmark and \checkmark at the right side of the scan viewer.



Fig. 12-33. Area of 3D scanning

 Define the measurement time for all scan points. Regarding the detector in use, the time is given by the following parameters: for CCD-camera – Exposure Time in the Andor CCD tab; for PMT module – Time/Point in the Scan tab;

for APD module – Integration Time in the Photon Counter tab.

NOTE. The *Time/Point* parameter provides also the speed of returning the probe to the beginning of a scan line.

12.4. Scanning

For recording signals from the sample surface, the following detectors are employed:

- PMT module for measuring optical characteristics of the sample surface;
- APD module for detecting a signal at a predefined wavelength;
- CCD-camera for detecting signals in the desired spectral ranges, for analyzing the acquired data and/or for recording the complete spectrum at every scan point (socalled acquisition of the complete spectrum map).

So, depending on the detector in use, scanning is performed with one of the following procedures:

- 1. Scanning with Recording by the CCD-Camera (i. 12.4.1 on p. 92);
- 2. Scanning with Recording by the PMT Module (i. 12.4.2 on p. 94);
- 3. Scanning with Recording by the APD Module (i. 12.4.3 on p. 95).

These operations will be explained in details below.

12.4.1. Scanning with Recording by the CCD-Camera

The measurements are carried out according to the "spectral" optical scheme (see. "Principle of Operation of the Optical Spectral System" on p. 24).

It is supposed that the CCD-camera have been adjusted for recording the signal (see sec. 12.2.1 on p. 80) and for scanning (see sec. 12.3 on p. 89).

Controls of scanning-by-sample are in the **Scan(Master)** tab while controls of scanning-bylaser – in the **Scan(Slave)** tab. Further the selected tab will be called **Scan** for convenience.

To scan with recording the signal by the CCD-camera, perform the following steps:

- 1. Go to the Andor CCD tab by clicking the corresponding title at the left top of the Spectra tab.
- 2. Click the spectrum region selection button **M** in the Control panel of the **Andor CCD** tab.
- 3. Press the <Ctrl> key. Holding the key pressed, highlight with the mouse the desired regions around peaks in the collected spectrum (Fig. 12-34) (the procedure on collecting the spectrum is explained in sec. 12.2.1 on p. 80).



Fig. 12-34. Peaked regions are selected in the spectrum

The highlighted regions will be displayed in the **Regions** table (Fig. 12-35).

Regions							
🖻 🖬 🛛							
ł	۶N	l°	Units	Left	Right	QF	
D		1	Raman, 1/cm	-383,82	-323,89	0	
		2	Raman, 1/cm	-147,94	-89,94	0	
		3	Raman, 1/cm	414,69	476,56	0	
		4	Raman, 1/cm	1101,1	1199,7	1	
Г							

Fig. 12-35. List of highlighted regions

4. For every selected region, define functions to apply for processing spectral data in the course of scanning. To this purpose, select the desired options in the **Functions** panel (Fig. 12-36).

Region 1: 0,00 - 0,00			
Maximum Value			
Pixels nm 🔲 1/cm Maximum Position			
🗖 Average Value			
Mass Centre Value			
Pixels nm 1/cm Mass Centre Position			
Skewness Value			
Pixels nm 1/cm FWHM			
🔲 Subtract			

Fig. 12-36. Functions panel

- 5. To record the full spectrum at every scan point, press the **Full Spectrum Recording** button.
- 6. Go to the **Scan** tab (Fig. 12-37) by clicking the corresponding title at the left top of the **Spectra** tab.

te Dal	ita 💽 Aiming 🛄 Resonance 🕡 Approach 🚢 Scan <table-cell-rows> Curves 耋 Litho 📐 Spectra</table-cell-rows>
Z	
Andor CCD	Scan (Master) Scan (Slave) Photon Counter
🕨 Run	3D Fast: X Slow: Y 💌 Scan Size 🔻 50,750 × 50,750 um 👻 🛄 🖌 2.035 um 🕻 Select Signals Time/point
Live Mode	Single Scan Pixels 🔄 🖬 🖞 🛍 🖨 💠 🗨 🗨 🕎 👹 🚺 🗔 🔨 🎊

Fig. 12-37. Control panel of the Scan tab

7. Open the **Select Signal** window (Fig. 12-38) with the <u>Select Signals</u> button in the Control panel of the **Scan** tab.

Select Signals 🛛 🔀				
CCD Height SensHeight Phase PMT Mag PMS U tunnel Surface Potenti	al			
ОК	Cancel			

Fig. 12-38. Selecting the CCD signal in the Select Signal window

- 8. Select the **CCD** option in the **Select Signal** window and click the **OK** button to enable the modifications and to close the window.
- 9. Launch scanning with the **Fun** button (Fig. 12-37.).

(aal)

ATTENTION! Do not open any other tab of the program until scanning completes because they may interfere with the running process.

Clicking the **Pun** button results in analyzing the selected spectrum regions at every scan point with the functions selected in the **Function** panel of the **Andor CCD** tab. Outputs of the functions will be displayed in separate 2D viewers.

If the full spectrum is recorded at every scan point (the **Full Spectrum Recording** button is pressed in), the data are saved in a separate frame of the **Data** tab. Analysis of that frame can be performed in the **Data** tab.

12.4.2. Scanning with Recording by the PMT Module

The measurements are carried out according to the "laser" optical scheme (see "Principle of Operation of the Optical Spectral System" on p. 24). The intensity of the scattered laser radiation is recorded by the PMT of the reflected radiation detection unit.

It is supposed that the PMT module have been adjusted for recording the signal (see sec. 12.2.2 on p. 84) and for scanning (see sec. 12.3 on p. 89).

Controls of scanning-by-sample are in the **Scan(Master)** tab while controls of scanning-bylaser - in the **Scan(Slave)** tab. Further, the selected tab will be called **Scan** for convenience.

To scan with recording the signal by the PMT module, perform the following steps:

1. Go to the **Scan** tab (Fig. 12-39) by clicking the corresponding title at the left top of the **Spectra** tab.

te Da	💽 Aiming 🚊 Resonance 🕡 Approach 🗾 Scan <table-cell-rows> Curves 🛃 Litho 📐 Spectra</table-cell-rows>	
z		
Andor CCD	ican (Master) Scan (Slave) Photon Counter	
🕨 Run	30 Fast: X Slow: Y ▼ Scan Size ▼ 50,750 × 50,750 um ▼	oint
Live Mode	igle Scan Pixels 🔄 🖬 🗴 📾 🚑 💠 🗨 🗨 💘 🕮 🚻 🗔 🔨 Ṻ́t 🎊	

Fig. 12-39. Control panel of the Scan tab

2. Open the **Select Signal** window (Fig. 12-40) with the **Select Signals** button in the Control panel of the **Scan** tab.

Select Signals	×
 CCD Height SensHeight Phase PMT Mag PMS U tunnel Surface Potential 	
OK Cano	el

Fig. 12-40. Selecting the PMT signal in the Select Signal window

- 3. Select the **PMT** option in the **Select Signal** window and click the **OK** button to enable the modifications and to close the window.
- 4. Launch scanning with the **Fun** button (see Fig. 12-39).

ATTENTION! Do not open any other tab of the program until scanning completes because they may interfere with the running process.

Clicking the **Pun** button results in displaying the optical characteristics distribution of the sample surface.

12.4.3. Scanning with Recording by the APD Module

Measurements are performed according to the "spectral" optical scheme (see 3 «Principle of Operation of the Optical Spectral System» on p. 24).

It is supposed that the APD module have been adjusted for recording the signal (see sec. 12.2.3 on p. 87) and for scanning (see sec. 12.3 on p. 89).

Controls of scanning-by-sample are in the **Scan(Master)** tab while controls of scanning-bylaser - in the **Scan(Slave)** tab. Further, the selected tab will be called **Scan** for convenience.

To scan with recording the signal by the APD module, perform the following steps:

1. Go to the **Scan** tab (Fig. 12-41) by clicking the corresponding title at the left top of the **Spectra** tab.



Fig. 12-41. Control panel of the Scan tab

2. Open the **Select Signal** window (Fig. 12-38) with the <u>Select Signals</u> button in the Control panel of the **Scan** tab.

and

Select Signals	×
CCD Height SensHeight Phase PMT Mag ✔ PMS U tunnel Surface Potent	ial
ОК	Cancel

Fig. 12-42. Selecting the PMS signal in the Select Signal window

- 3. Select the **PMT** option in the **Select Signal** window and click the **OK** button to enable the modifications and to close the window.
- 4. Launch scanning with the **Fun** button (Fig. 12-39).

ATTENTION! Do not open any other tab of the program until scanning completes because they may interfere with the running process.

Clicking the **Run** button results in displaying the signal distribution for the selected wavelength.

12.5. Saving Data

Data collected during the scanning procedure are stored in the RAM. They can be viewed and analyzed in the **Data** tab (accessible through the **Data** button in the Main Operations panel).

To save the data on the hard disk, perform the following steps:

- 1. Select the File \rightarrow Save Main menu command.
- 2. A dialog box will appear. Define the folder to store the data (by default, it is C:\Program Files\NT-MDT\Nova).
- 3. Type in a filename and save it with the extension *.mdt.



(ad)

NOTE. By default, the images obtained are stored in files "NoNameXX.mdt", where XX is the file index in the folder **Nova**.

12.6. Finishing the Work

1. Regarding the detector in use, perform the following steps:

CCD-camera

Enter 20°C for heating temperature of the CCD-matrix in the **Set T(°C)** input field in the Control panel of the **Andor CCD** tab. Wait until the CCD-matrix is heated to room temperature.

(aal)

ATTENTION! Do not exit the Control program until temperature of the CCD-matrix is lower 0° C to prevent damage of the CCD-matrix.

PMT module

Enter zero voltage in the PMT1 input field of the Main Parameters panel.

APD module

Close the APD shutter on the spectrometer exit by clicking the **v** open button in the **Shutter** group on the **Spectrometer** tab of the **Nano 30** window. This closes the shutter and changes appearance of the button to **v** closed.

- 2. If the AFM probe sensor was in use, open the feedback loop by releasing the the button.
- 3. Retract the sample from the probe as follows:
 - a. Go to the **Approach** tab with the Approach button in the Main Operations panel (Fig. 12-43).



Fig. 12-43. Control panel of the Approach tab

b. Double-click in the Moving input field of the Backward group (Fig. 12-44) on the Control panel of the Approach tab to open a slider. Position the slider at the value of 2÷3 mm.



Fig. 12-44

c. To retract the sample from the probe, click the **Seckward** group (Fig. 12-45).





- Close the laser shutter at the entrance of the spectrometer by clicking the Upen button in the group of the employed laser on the 1. Entrance tab of the Nano 30 window. This closes the shutter and changes appearance of the button to Closed.
- 5. Switch off the laser.
- 6. Switch off the SPM controllers.
- 7. Switch off the spectrometer.
- 8. Close the Control program.

Appendix

1. Optical Viewing System

The Optical Viewing System of NTEGRA Spectra Probe NanoLaboratory (Upright configuration) provides focusing at different distances from the sample surface thus enabling the following functions:

- pointing a laser beam onto the cantilever;
- selection of the sample surface research area;
- approaching and scanning control.

PNL NTEGRA Spectra (Upright configuration) is delivered with the optical viewing system of one of the following models:

- CCD07 model (see i. 1.1 on p. 99);
- CCD27 model (see i. 1.2 on p. 100).

These models are described in details below.

1.1. CCD07 Optical Viewing System

CCD07 Optical Viewing System serves to operate with an optical measuring head.

Assembly and adjustment of the optical viewing system are performed by personnel of NT-MDT Co. when installing the equipment.

Fig. 1-1 shows a general view of the NTEGRA Spectra system with the optical viewing system in place.

Basic parts of the optical viewing system (Fig. 1-1):

- positioning stand 4;
- videomicroscope holder 5;
- videomicroscope positioner 6;
- Videomicroscope:
 - CCD camera 7;
 - Tube 8;
 - Beam splitter 9;
 - Illuminator 10.



Fig. 1-1. General view of NTEGRA Spectra with the CCD07 optical viewing system in place 1 – base unit; 2 – optical measuring head; 3 – laser radiation delivery and detection unit; 4– positioning stand; 5 – videomicroscope holder; 6 – videomicroscope positioner; 7 – CCD camera; 8 – tube; 9 – beam splitter; 10 – illuminator

1.2. CCD27 Optical Viewing System

1.2.1. Basic Units

Fig. 1-2 shows a general view of the NTEGRA Spectra system with the CCD27 optical viewing system in place.

Basic parts of the optical viewing system (Fig. 1-2):

- Positioning stand 4;
- Stand extender 5;
- Videomicroscope holder 6;
- Videomicroscope positioner 7;
- Videomicroscope 8.


Fig. 1-2. General view of NTEGRA Spectra with the CCD27 optical viewing system in place
1 – base unit; 2 –measuring head; 3 – laser radiation delivery and detection unit; 4– positioning stand; 5 – stand extender; 6 – videomicroscope holder; 7 – videomicroscope positioner; 8– videomicroscope

The CCD27 optical viewing system can operate both with the optical measuring head and with the universal one.

Assembly and adjustment of the optical viewing system are performed by personnel of NT-MDT Co. when installing the equipment. Assembly of the videomicroscope depends on the type of the measuring head to be used.

On exchanging the measuring head, the customer reassemble the videomicroscope on his own. Fig. 1-3 shows design of the videomicroscope (pos. 8 in Fig. 1-2) for different types of the measuring head.



a) Videomicroscope for optical measuring head



Fig. 1-3. Videomicroscope 1 – CCD camera; 2 – tube; 3 – beam splitter; 4 – illuminator; 5 – illuminator start/stop button; 6 – telescope; 7 – lens; 8 – adapter

Procedures on assembling the videomicroscope are the following:

- Assembling Videomicroscope for Optical Measuring Head (see i. 1.2.2 on p. 103);
- Assembling Videomicroscope for Universal Measuring Head (see i. 1.2.3 on p. 105).

1.2.2. Assembling Videomicroscope for Optical Measuring Head

NOTE. If the instrument uses the CCD27 optical viewing system and it has been adjusted to operate with the universal measuring head, disassemble the videomicroscope of the optical viewing system first through steps given in i. 1.2.3 on p. 105 in reverse order. Then proceed to assembling the videomicroscope.

Assembling videomicroscope

1. Insert the adapter of the videomicroscope (see Fig. 1-3) into the lower end of the tube and secure it with three screws (Fig. 1-4).



Fig. 1-4. Mounting the adapter. Arrow indicates the adapter

2. Insert the beam splitter with the illuminator into the lower end of the adapter and secure it with three screws (Fig. 1-5).



Fig. 1-5. Mounting the beam splitter. Arrow indicates the beam splitter

This completes assembling the videomicroscope.

Preparing optical viewing system for operation

With the videomicroscope assembled, prepare the optical viewing system for operation by performing the following steps:

1. Turn on the illuminator of the videomicroscope with the button 7 (Fig. 1-6).



Fig. 1-6. General view of NTEGRA Spectra with the CCD27 optical viewing system in place

- 1 latch; 2 coarse adjustment knob; 3 centering screw; 4 stand angular position fixing screw;
 5 positioning screws of the videomicroscope; 6 fixing screws of the videomicroscope;
 7 illuminator start/stop button
- 2. Inspect the image in the monitor of the optical viewing system to verify that radiation from the illuminator of the videomicroscope comes to the center of the lens of the optical measuring head (the object under study should be irradiated uniformly). Achieve uniform irradiation of the sample by repositioning the videomicroscope with the positioning screws 5.

Now, the optical viewing system is ready for operation.

1.2.3. Assembling Videomicroscope for Universal Measuring Head

NOTE. If the instrument uses the CCD27 optical viewing system and it has been adjusted to operate with the universal measuring head, disassemble the videomicroscope of the optical viewing system first through steps given in i. 1.2.2 on p. 103 in reverse order. Then proceed to assembling the videomicroscope.

Assembling videomicroscope

1. Insert the telescope (see Fig. 1-3) into the lower end of the tube and secure it with three screws (Fig. 1-7).



Fig. 1-7. Mounting the telescope. Arrow indicates the telescope

2. Insert the beam splitter with the illuminator into the lower end of the telescope and secure it with three screws (Fig. 1-8).



Fig. 1-8. Mounting the beam splitter. Arrow indicates the beam splitter

3. Screw the lens supplied with the optical viewing system into the lower end of the beam splitter (Fig. 1-9).



Fig. 1-9. Mounting the lens. Arrow indicates the lens

This completes assembling the videomicroscope.

Preparing optical viewing system for operation

With the videomicroscope assembled, prepare the optical viewing system for operation by performing the following steps:

1. Remove the mirror from the beam path by turning the turret of the laser radiation delivery and detection unit with the knob 8 to position M2 (see i. 2.3 "Radiation delivery and recording system" on p. 15).



Fig. 1-10. General view of NTEGRA Spectra with the CCD27 optical viewing system in place

- 1 latch; 2 coarse adjustment knob; 3 centering screw; 4 stand angular position fixing screw;
 5 positioning screws of the videomicroscope; 6 fixing screws of the videomicroscope;
 7 illuminator start/stop button; 8 turret rotation knob
- 2. Using the coarse adjustment knob 2, move the videomicroscope holder to its midposition.
- 3. Turn on the illuminator of the with the button 3.
- 4. Loosen the stand angular position fixing screw 4.
- 5. Using the centering screw 3, adjust angular position of the videomicroscope to view the target object.
- 6. Fix the stand with the screw 4.

- 7. Verify correct positioning of the videomicroscope by viewing the cantilever (or sample) on the monitor of the optical viewing system (the image should be free of distortions). If necessary, loosen the fixing screws 6 and reposition the videomicroscope. Adjust position of the videomicroscope observing the cantilever (or sample) on the monitor of the optical viewing system. Fix the videomicroscope with the screws 6.
- 8. Using the coarse focusing knob 2, focus the videomicroscope on the cantilever (sample). Adjust the videomicroscope with the adjustment screws 5 to place the center of the field of view on the object to observe.

Now, the optical viewing system is ready for operation.